

Heat Transfer Modes

Heat Conduction



- **Fourier Law**

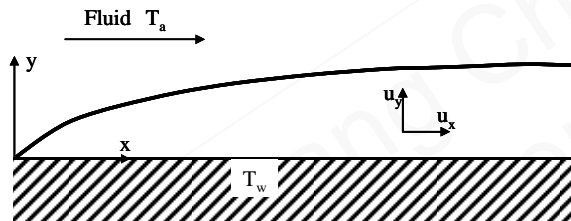
$$\dot{Q} = -kA \frac{dT}{dx} \text{ [W]}$$

\uparrow Thermal Conductivity [W/m-K] Materials Property
 \uparrow Cross-Sectional Area

- **Heat Flux**

$$\dot{q} = -k \frac{dT}{dx} (= -k \nabla T) \text{ [W/m}^2\text{]}$$

Convection



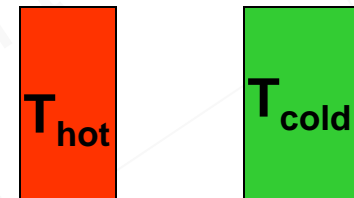
- **Newton's law of cooling**

$$\dot{Q} = hA(T_w - T_a)$$

\uparrow Convective Heat Transfer Coefficient [W/m²K]
 Flow dependent

- **Natural Convection**
- **Forced Convection**

Thermal Radiation



- **Stefan-Boltzmann Law for Blackbody**

$$\dot{Q} = A \sigma T^4$$

Stefan-Boltzmann Constant $\sigma = 5.67 \times 10^{-8} \text{ W/m}^2\text{K}^4$

- **Heat transfer**

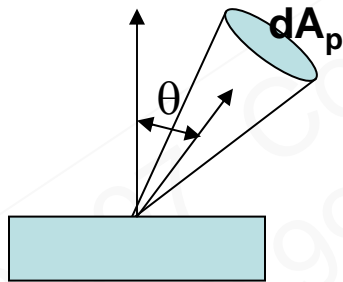
$$\dot{Q} = AF \varepsilon \sigma (T_{hot}^4 - T_{cold}^4)$$

\uparrow View factor $F=1$ for two parallel plates
 \uparrow Emissivity of two surfaces

Thermal Radiation: Planck's Law

- **Intensity: power per unit solid angle in the direction of propagation**

$$I_\lambda = \frac{\text{Power}}{dA_\perp d\Omega d\lambda}$$



Solid Angle

$$d\Omega = \frac{dA_p}{R^2} = \sin \theta d\theta d\varphi$$

- **Emissive power: power per unit surface area**

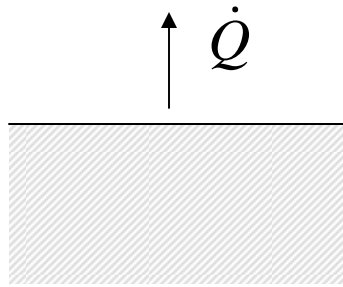
$$\begin{aligned} e_\lambda &= \int_{2\pi} I_\lambda \cos \theta d\Omega \\ &= \int_0^{2\pi} d\varphi \int_0^{\pi/2} I_\lambda \cos \theta \sin \theta d\theta = \pi I_\lambda \end{aligned}$$

- **Planck's law**

$$I(\lambda) = \frac{4\pi c^2 \hbar}{\lambda^5} \frac{1}{\exp\left(\frac{2\pi \hbar c}{k_B T \lambda}\right) - 1}$$

$$e(\lambda) = \frac{4\pi^2 c^2 \hbar}{\lambda^5} \frac{1}{\exp\left(\frac{2\pi \hbar c}{k_B T \lambda}\right) - 1}$$

Thermal Radiation: Planck's Law



Wien's displacement law

$$\lambda_{\max} T = 2898 \text{ K}\mu\text{m}$$

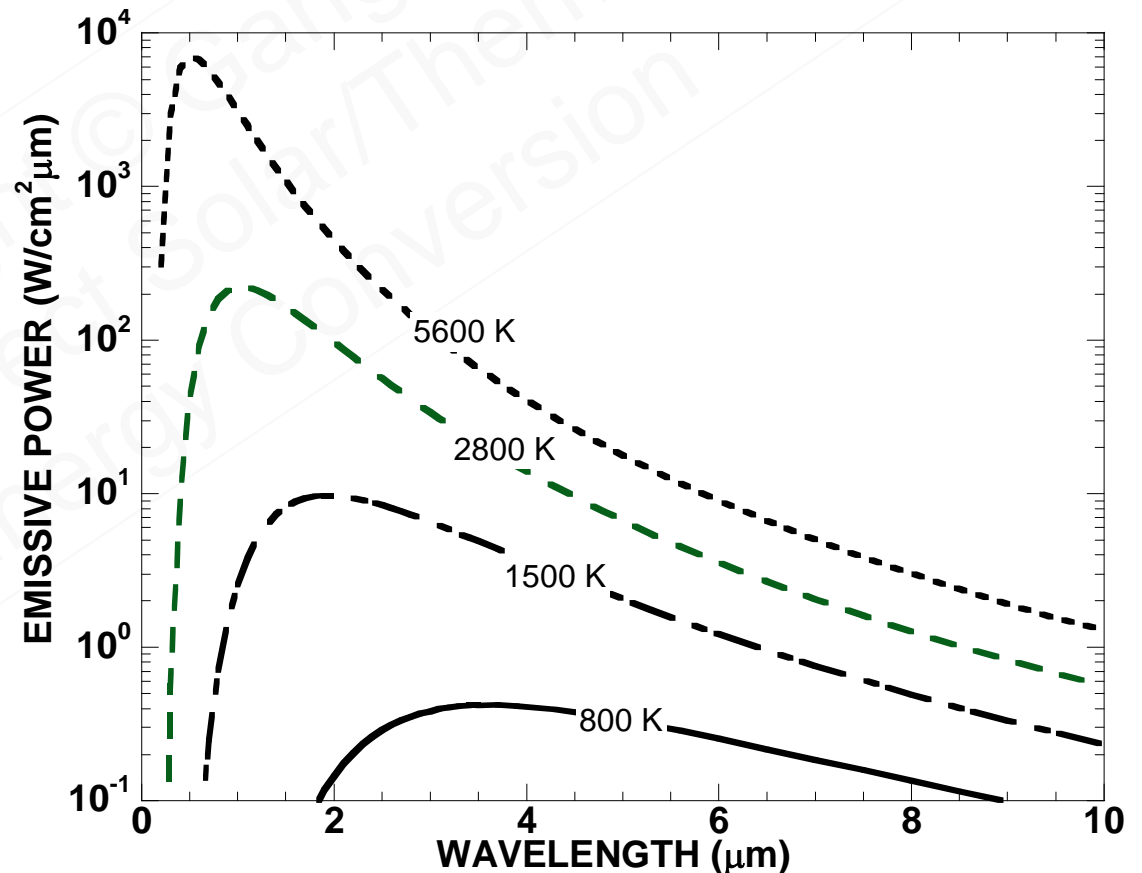
Total

$$\dot{Q} = \int_0^{\infty} \dot{Q}(\lambda) d\lambda = A\sigma T^4$$

$$e_b = \sigma T^4$$

Stefan-Boltzmann constant

$$\sigma = 5.67 \times 10^{-8} \text{ W/m}^2 \text{ K}^4$$



Universal Blackbody Curve

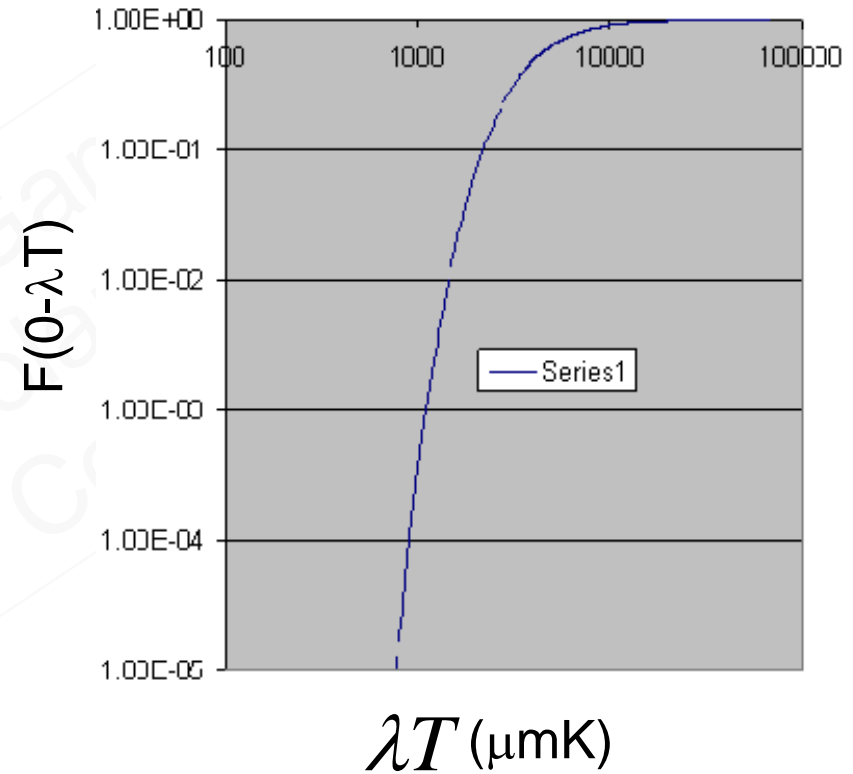
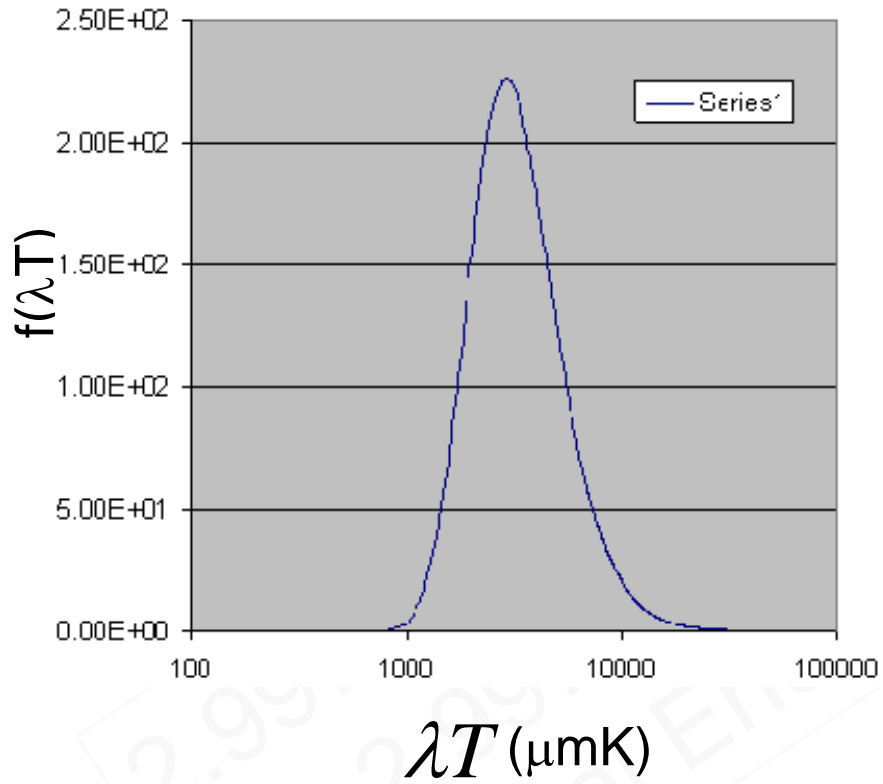
Function of λT only

$$\begin{aligned}\frac{e_{b\lambda}}{T^5} &= \frac{4\pi^2 c^2 \hbar}{(\lambda T)^5} \frac{1}{\exp\left(\frac{2\pi\hbar c}{k_B \lambda T}\right) - 1} \\ &= \frac{C_1}{(\lambda T)^5} \frac{1}{\exp\left(\frac{2\pi\hbar c}{k_B \lambda T}\right) - 1}\end{aligned}$$

Fraction of Energy between $[0, \lambda]$
Relative to Total Blackbody

$$\begin{aligned}F &= \frac{\int_0^\lambda e_{b\lambda} d\lambda}{\sigma T^4} = \int_0^\lambda \frac{C_1}{(\lambda T)^5} \frac{d\lambda}{\exp\left(\frac{2\pi\hbar c}{k_B \lambda T}\right) - 1} \\ &= \frac{1}{\sigma} \int_0^{\lambda T} \frac{C_1}{(\lambda T)^5} \frac{d(\lambda T)}{\exp\left(\frac{2\pi\hbar c}{k_B \lambda T}\right) - 1} \\ &= \frac{C_1}{\sigma} \int_0^{\lambda T} \frac{1}{x^5} \frac{dx}{\exp\left(\frac{C_2}{x}\right) - 1} = \int_0^x f(x) dx \\ &= F(0 - \lambda T)\end{aligned}$$

Universal Function



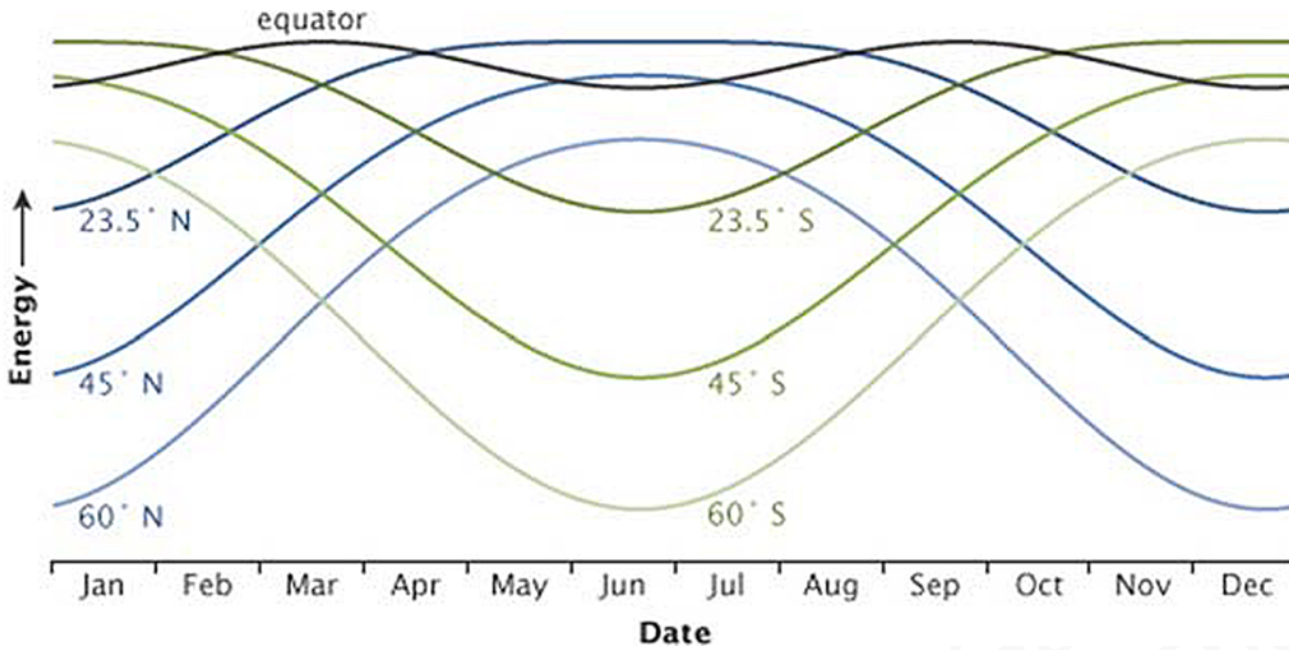


Image by Robert Simmon (NASA).

Earth Orbital

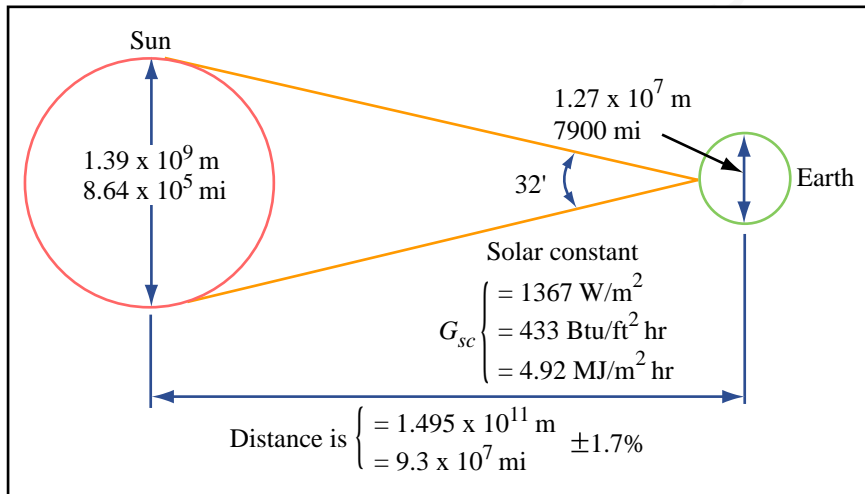


Figure by MIT OpenCourseWare.

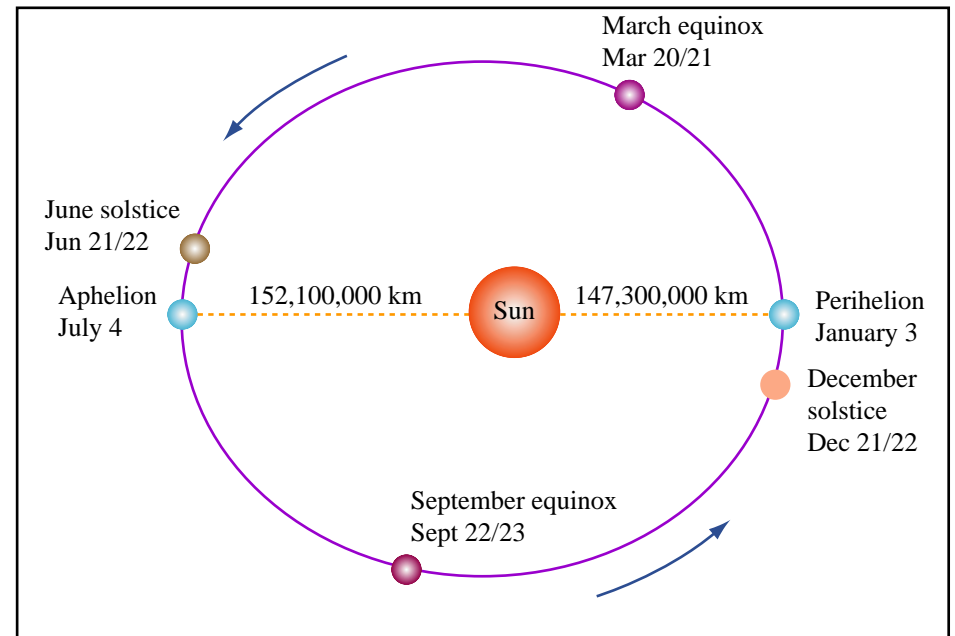
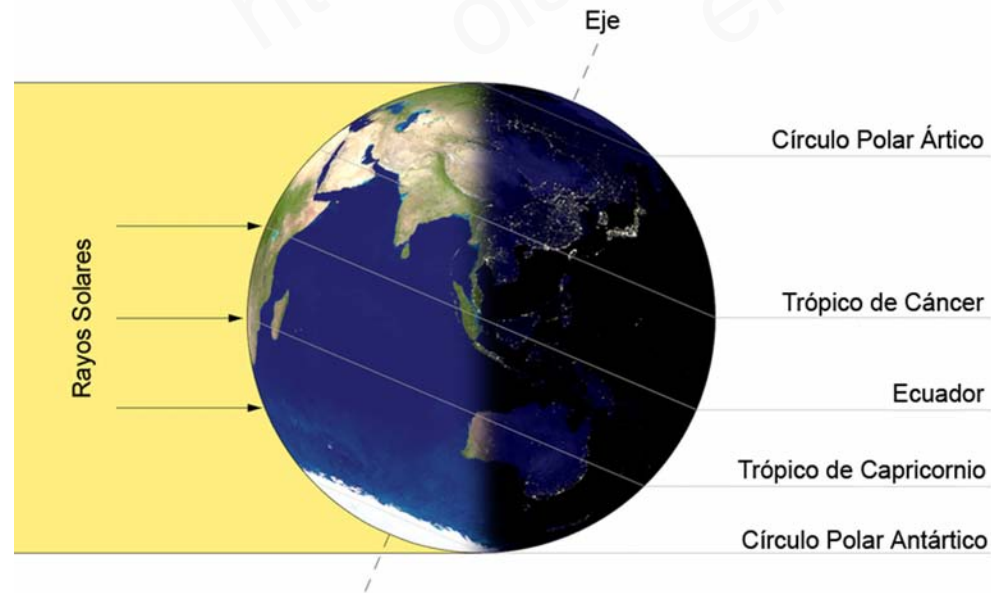
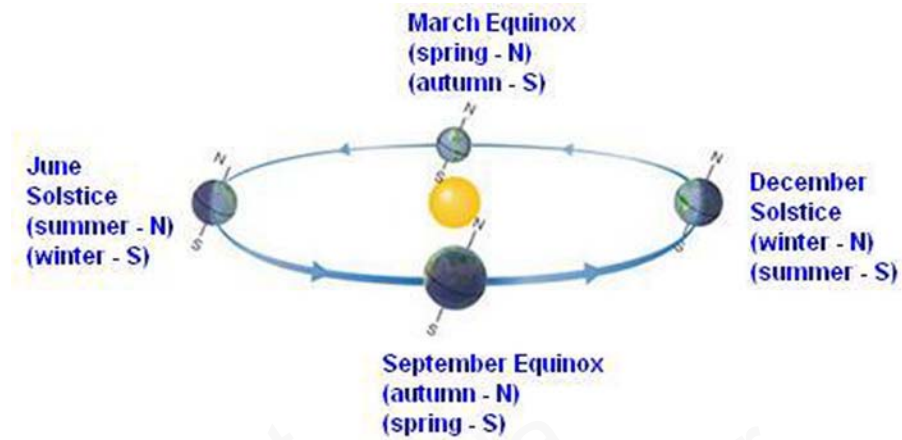


Figure by MIT OpenCourseWare.

Earth Tilt



Solar Spectral Outside Atmosphere

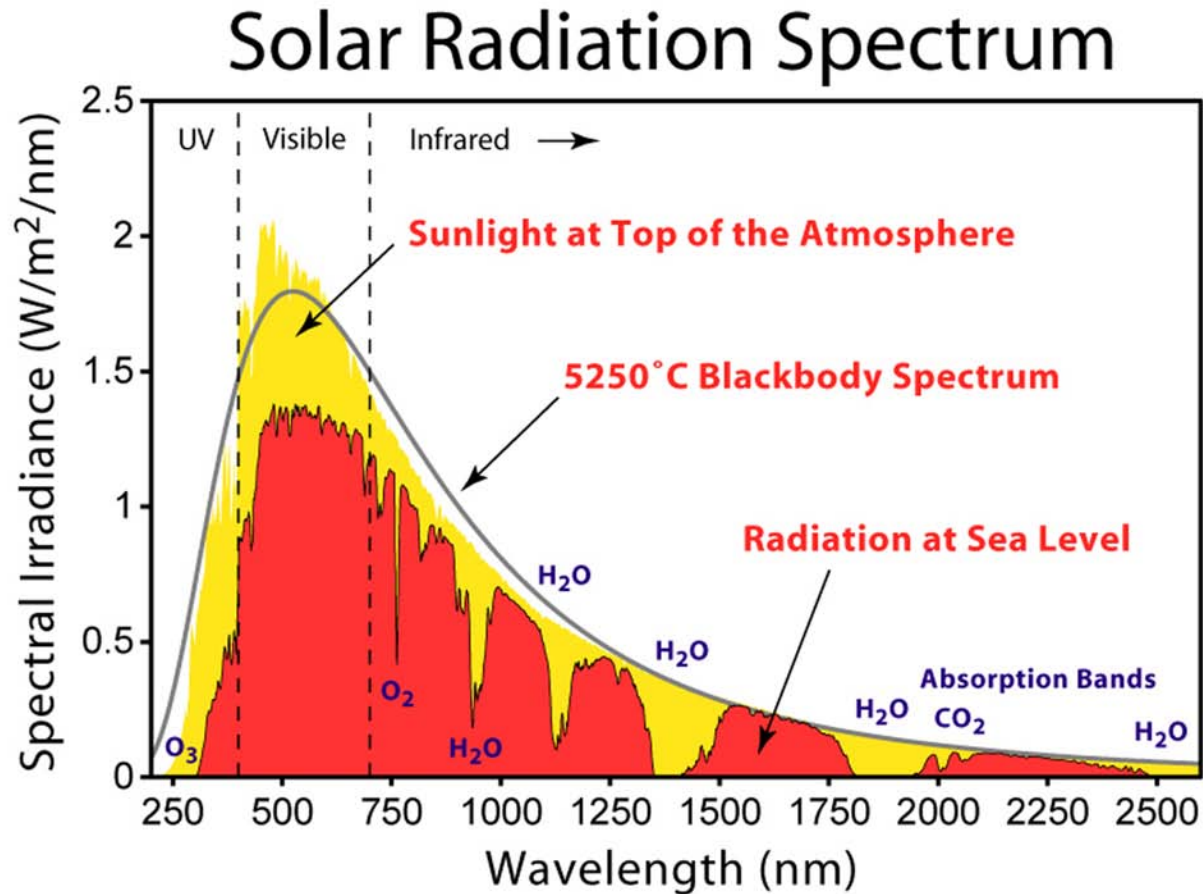
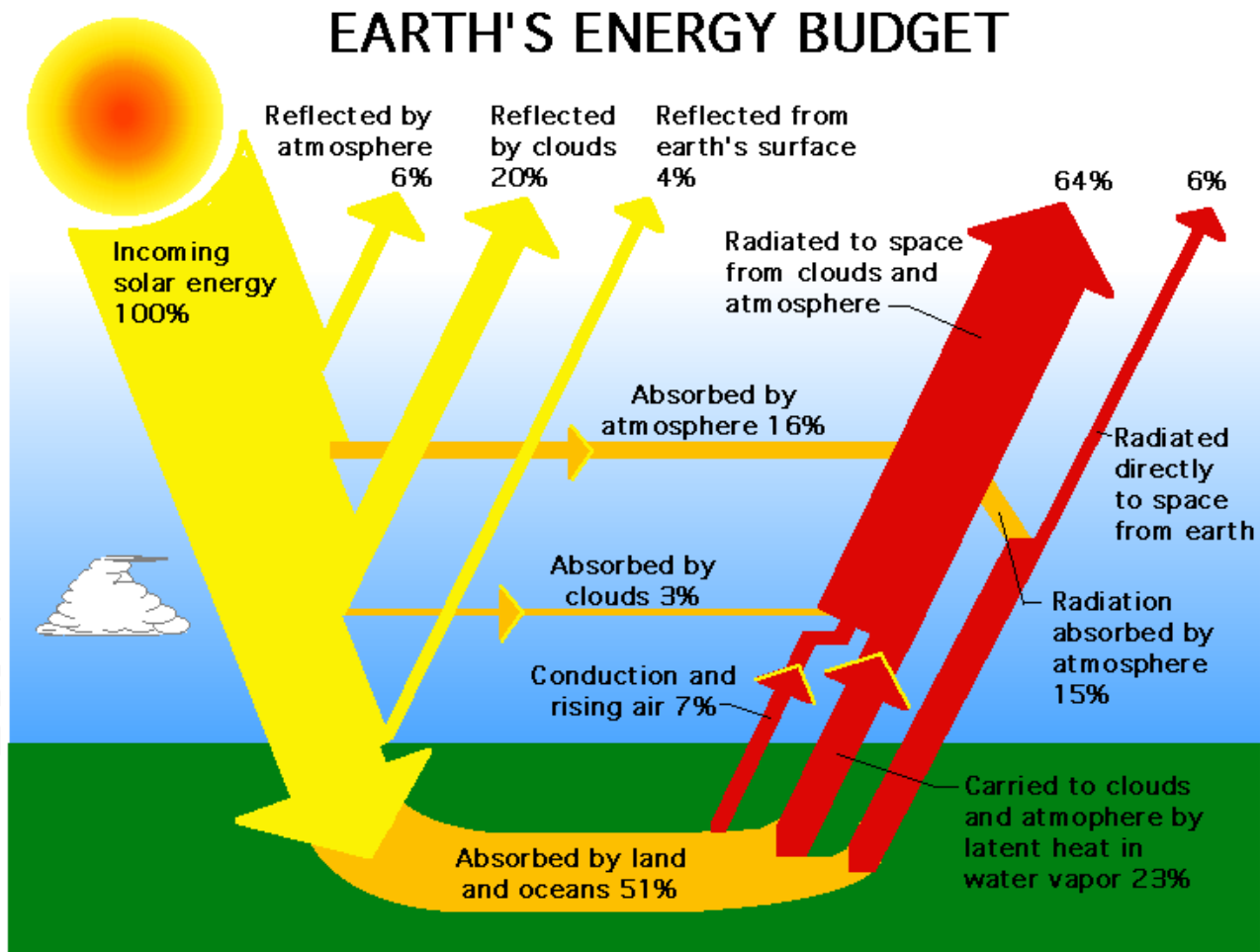


Image by Robert A. Rohde/Global Warming Art.

<http://www.newport.com/images/webclickthru-EN/images/798.gif>

Solar Going Through Atmosphere



Source: <http://marine.rutgers.edu/mrs/education/class/yuri/erb.html>

Image by NASA.

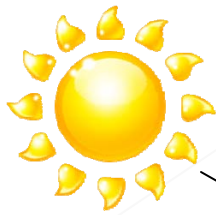
Solar spectra

Solar spectra are named by their air mass (AM), which is the ratio of the path length of air the rays travel through to the shortest possible pathlength (i.e. directly overhead). Thus the AM0 solar spectrum is measured above the earth's atmosphere, AM1 occurs when the sun is directly overhead, and AM1.5 occurs when the sun's rays travel through 50% more of the atmosphere than when the sun is directly overhead. Note $1/\cos(48.2^\circ) = 1.5$, so AM1.5 occurs when the solar zenith angle is 48.2° .

- AM0 is an average of measured data from many satellites, the space shuttle, high-altitude aircraft, sounding rockets, etc.
- AM1.5G and AM1.5 Direct + Circumsolar are calculated values based on atmospheric constituent and particle concentrations, humidity, ground surface albedo, the U.S. Standard Atmosphere, and various other parameters. They are defined as follows:
 - AM1.5 Direct + Circumsolar is only the solar radiation that comes from the sun and the cone of sky of half-angle 2.5 degrees surrounding the sun. The reference surface is normal to the sun, with an air mass of 1.5 (solar zenith 48.2°). (Defined in ASTM G173-03)
 - AM1.5G accounts for radiation from the sun, the entire sky, and reflections off the ground. The solar zenith is 48.2° , but the panel is tilted at an angle of 37° . This results in an angle of incidence of the sun of 11.2° . (ASTM G173-03)
 - AM1.5G is the spectrum used to calibrate solar cells. This spectrum gives higher solar cell efficiency than AM0, and higher power per square meter than AM1.5 Direct + Circumsolar. (ASTM E490-00a)

Air mass standards

$$1.5 = \frac{1}{\cos(48.1^\circ)}$$



48.1°

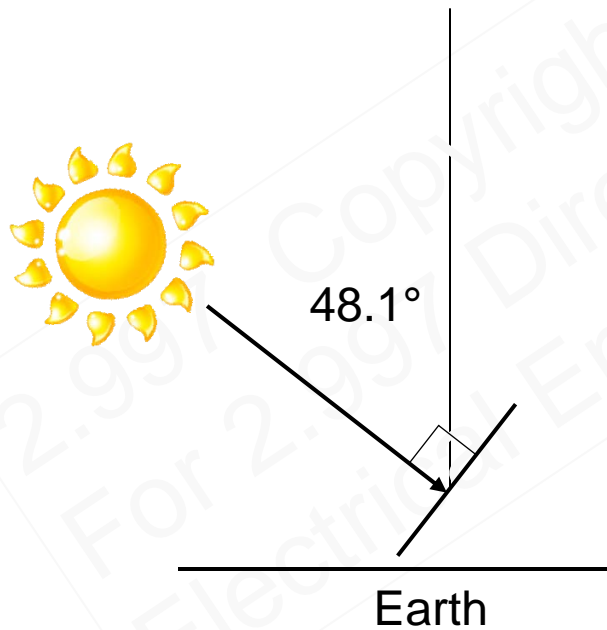
AM1.5

Earth

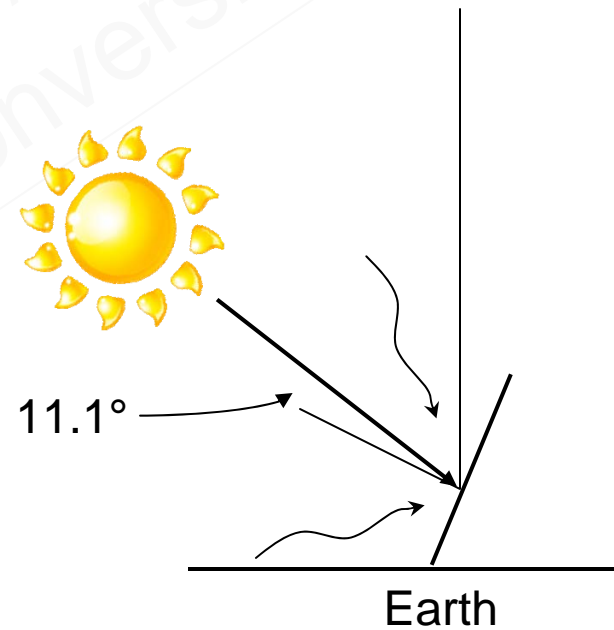
2.997 Copyright © Gang Chen, MIT
For 2.997 Direct Solar/Thermal to
Electrical Energy Conversion

Air mass standards

AM1.5 Direct + Circumsolar



AM1.5 Global



Radiation Transmitted by the Atmosphere

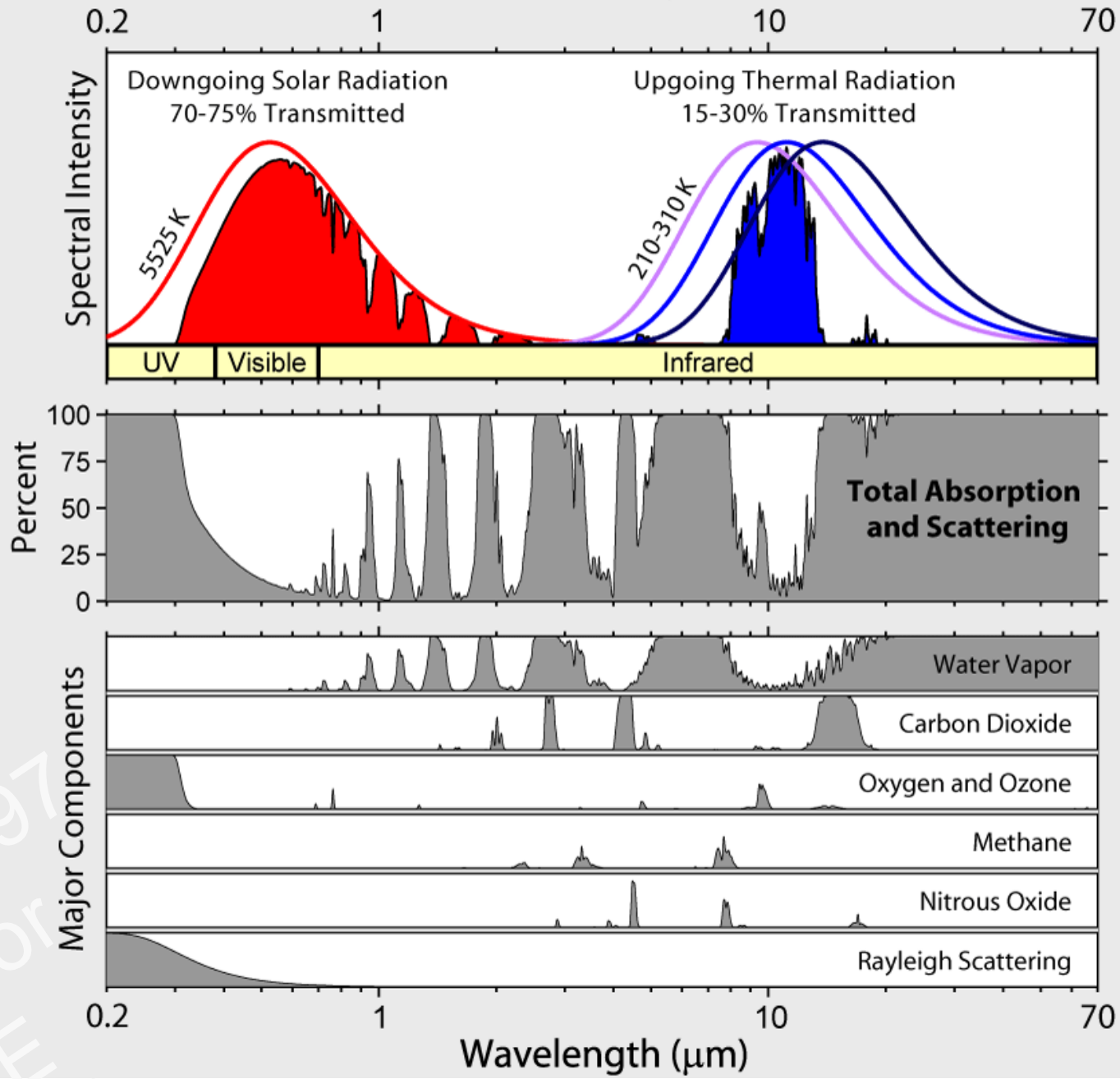
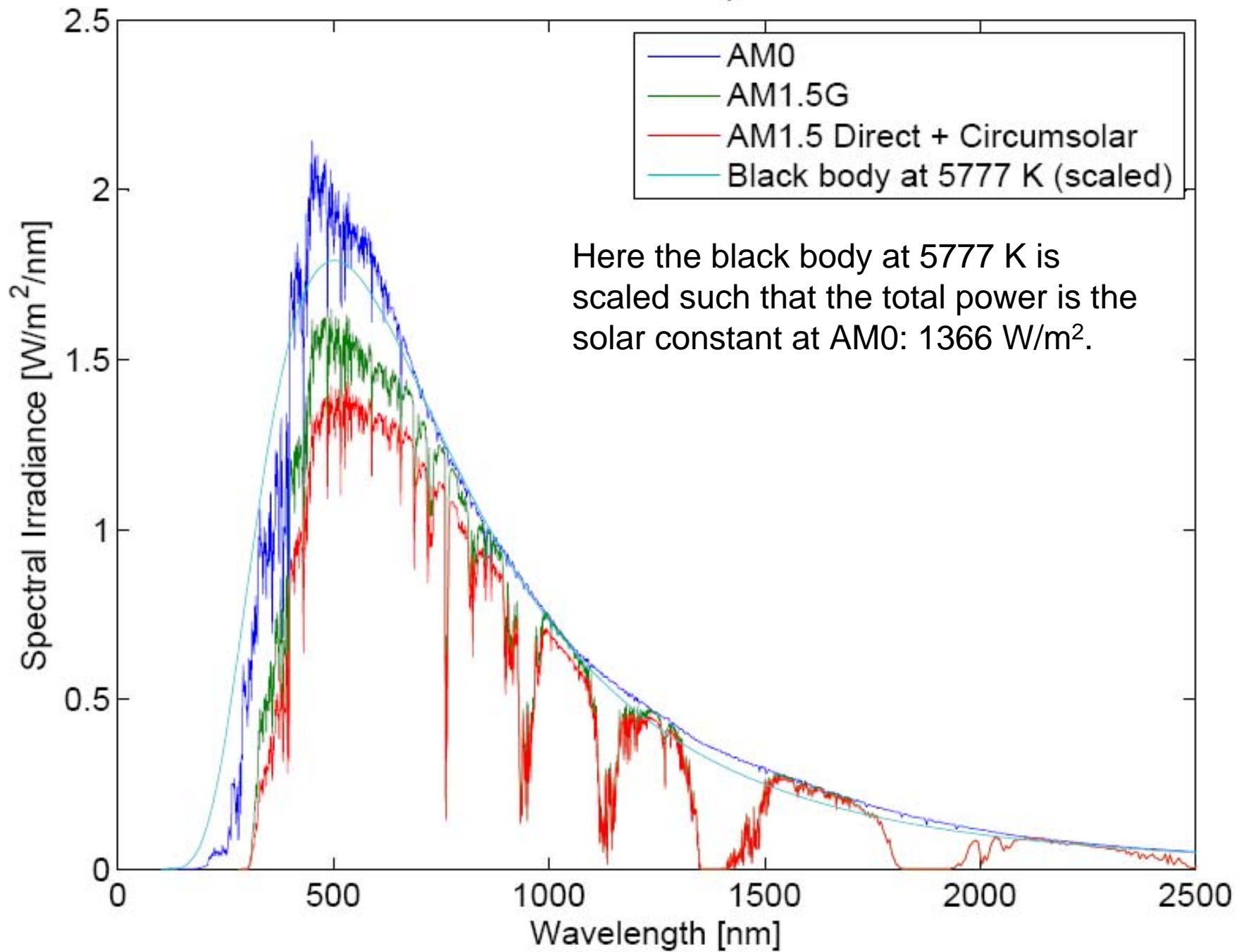
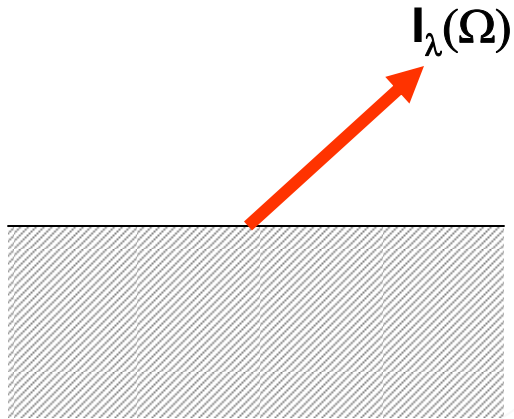


Image by Robert A. Rohde/Global Warming Art.

Various Solar Spectra



Surface Properties: Emissivity



Directional-spectral $\varepsilon'_\lambda(T) = \frac{I_\lambda(\Omega)}{I_{b\lambda}}$

Hemispherical-spectral $\varepsilon_\lambda(T) = \frac{e_\lambda}{e_{b\lambda}}$

Diffuse Emitter

$$\varepsilon'_\lambda = \varepsilon_\lambda$$

Gray Emitter

$$\varepsilon'_\lambda = \varepsilon'$$

Diffuse-Gray Emitter

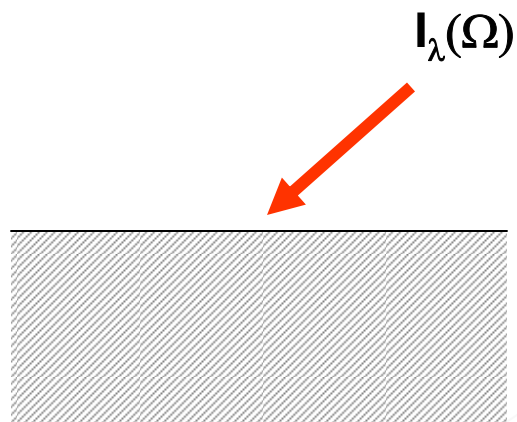
Directional-total

$$\varepsilon'(T) = \frac{I(\Omega)}{I_b}$$

Hemispherical-total

$$\varepsilon(T) = \frac{e}{e_b}$$

Surface Properties: Absorptivity



Directional-spectral

$$\alpha'_\lambda(T) = \frac{\text{power absorbed}}{I_\lambda(\Omega)d\Omega}$$

Hemispherical-spectral

$$\alpha_\lambda(T)$$

Kirchoff's Law

$$\varepsilon'_\lambda = \alpha'_\lambda$$

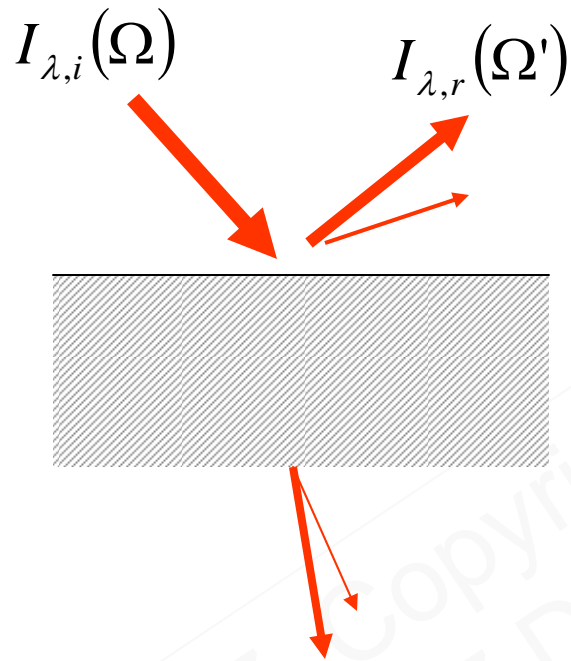
Directional-total

$$\alpha'(T)$$

Hemispherical-total

$$\alpha(T)$$

Surface Properties: Reflectivity & Transmissivity



Diffuse Reflector

Gray Reflector

Diffuse Gray Reflector

Reflectivity:

Bi-directional Reflectivity

$$\rho_{\lambda}'' = \frac{I_{\lambda,r}(\Omega')}{I_{\lambda,i}(\Omega)}$$

Directional-spectral:

$$\rho_{\lambda}'$$

Hemispherical-spectral:

$$\rho_{\lambda}$$

Directional-total:

$$\rho'$$

Hemispherical-total:

$$\rho$$

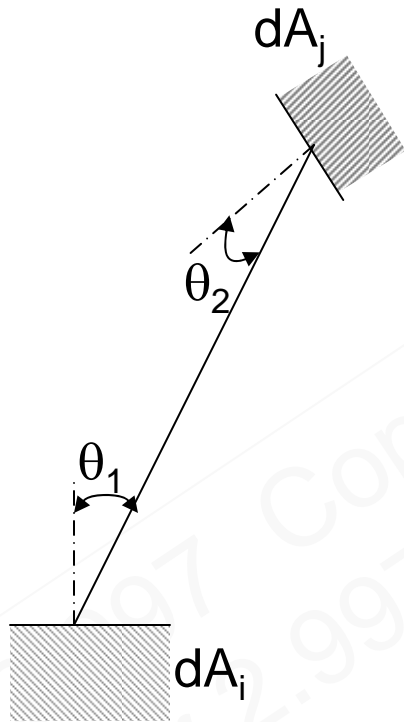
Transmissivity:

$$\tau_{\lambda}'', \tau_{\lambda}', \tau_{\lambda}, \tau', \tau$$

Energy Conservation

$$\rho_{\lambda}' + \alpha_{\lambda}' + \tau_{\lambda}' = 1$$

View Factor



$$\begin{aligned}
 F_{dA_i-dA_j} &= \frac{\text{power reaching } dA_j}{\text{power leaving } dA_i} \\
 &= \frac{I_i dA_i \cos \theta_i \frac{\cos \theta_j dA_j}{R_{ij}^2}}{\pi I_i dA_i} \\
 &= \frac{\cos \theta_i \cos \theta_j dA_j}{\pi R_{ij}^2}
 \end{aligned}$$

$$F_{A_i-A_j} = \frac{1}{A_i} \int_{A_i} \int_{A_j} \frac{\cos \theta_i \cos \theta_j}{\pi R_{ij}^2} dA_i dA_j$$

Assumptions:

Diffuse surface

Radiation leaving A_i is uniform

View Factor Relations

Reciprocity

$$F_{dA_i-dA_j} dA_i = F_{dA_j-dA_i} dA_j \quad F_{A_i-A_j} A_i = F_{A_j-A_i} A_j$$

Summation

$$F_{A_i-(A_j+A_k)} = F_{A_i-A_j} + F_{A_i-A_k}$$

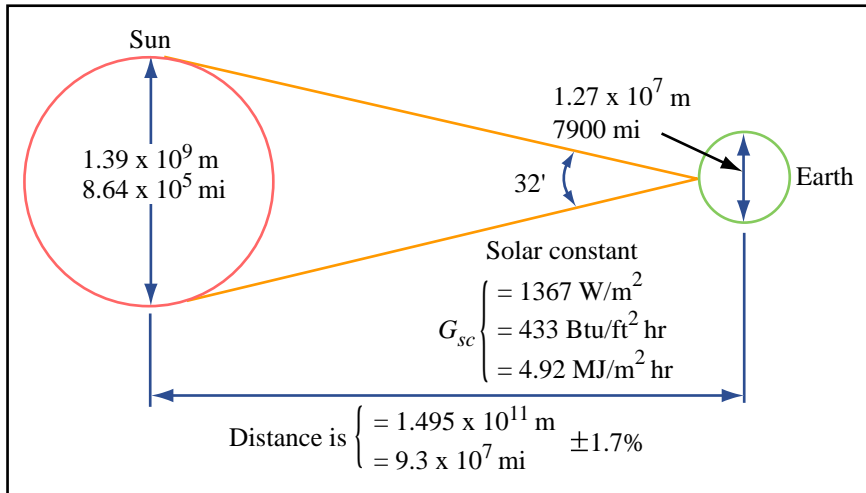


Figure by MIT OpenCourseWare.

Earth-Sun Radiation

Radiation Reaching Earth

$$e_s A_s F_{s-e}$$

$$A_s = \frac{\pi D_s^2}{4} \quad A_e = \frac{\pi D_e^2}{4}$$

$$F_{s-e} = \frac{\pi D_e^2 / 4}{R_{se}^2} = 5.67 \times 10^{-9}$$

$$F_{e-s} = \frac{\pi D_s^2 / 4}{R_{se}^2} = 6.79 \times 10^{-5}$$

$$A_s F_{s-e} = A_e F_{e-s}$$

Solar Radiation Per Unit Area Normal to Sun on Earth Outside Atmosphere

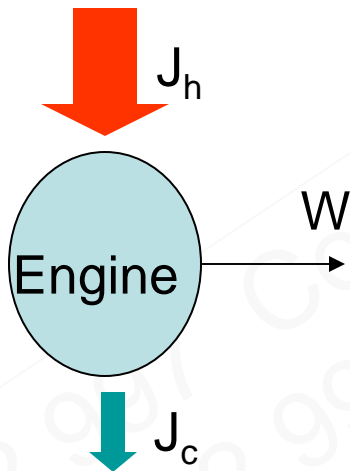
$$\begin{aligned} J_s &= \frac{e_s A_s F_{s-e}}{A_e} = e_s F_{e-s} \\ &= 5.67 \times 10^8 \times (5777)^4 \times 6.79 \times 10^{-5} \\ &= 1365 \frac{\text{W}}{\text{m}^2} \end{aligned}$$

Solar Constant: 1366 W/m^2

Maximum Efficiency of a Solar Thermal Engine

Blackbody At Sun's Temperature T_s

Blackbody Absorber at T



Heat Transferred to Absorber

$$Q_h = \sigma(T_s^4 - T^4)$$

Thermal Efficiency

$$\eta_{th} = \frac{\sigma(T_s^4 - T^4)}{\sigma T_s^4} = 1 - \frac{T^4}{T_s^4}$$

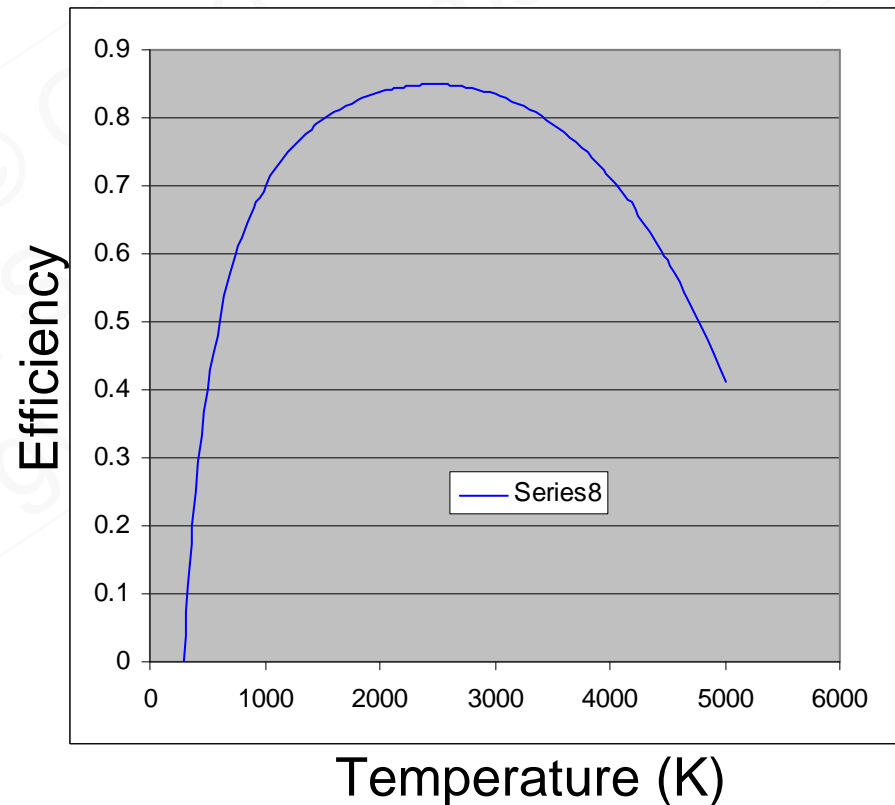
Carnot Efficiency

$$\eta = 1 - \frac{T_a}{T}$$

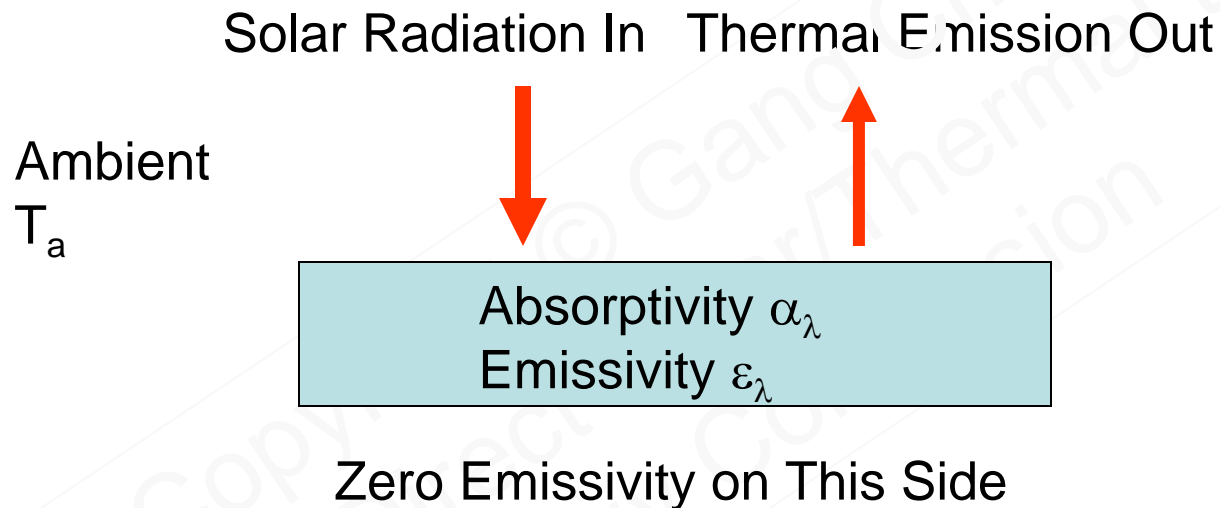
Maximum Efficiency of a Solar Thermal Engine

$$\eta = \eta_{th}\eta_C = \left(1 - \frac{T^4}{T_s^4}\right) \left(1 - \frac{T_a}{T}\right)$$

Maximum: 85% @ T=2450K
For $T_a=300$ K



How Hot a Surface Can Get By Solar Radiation?

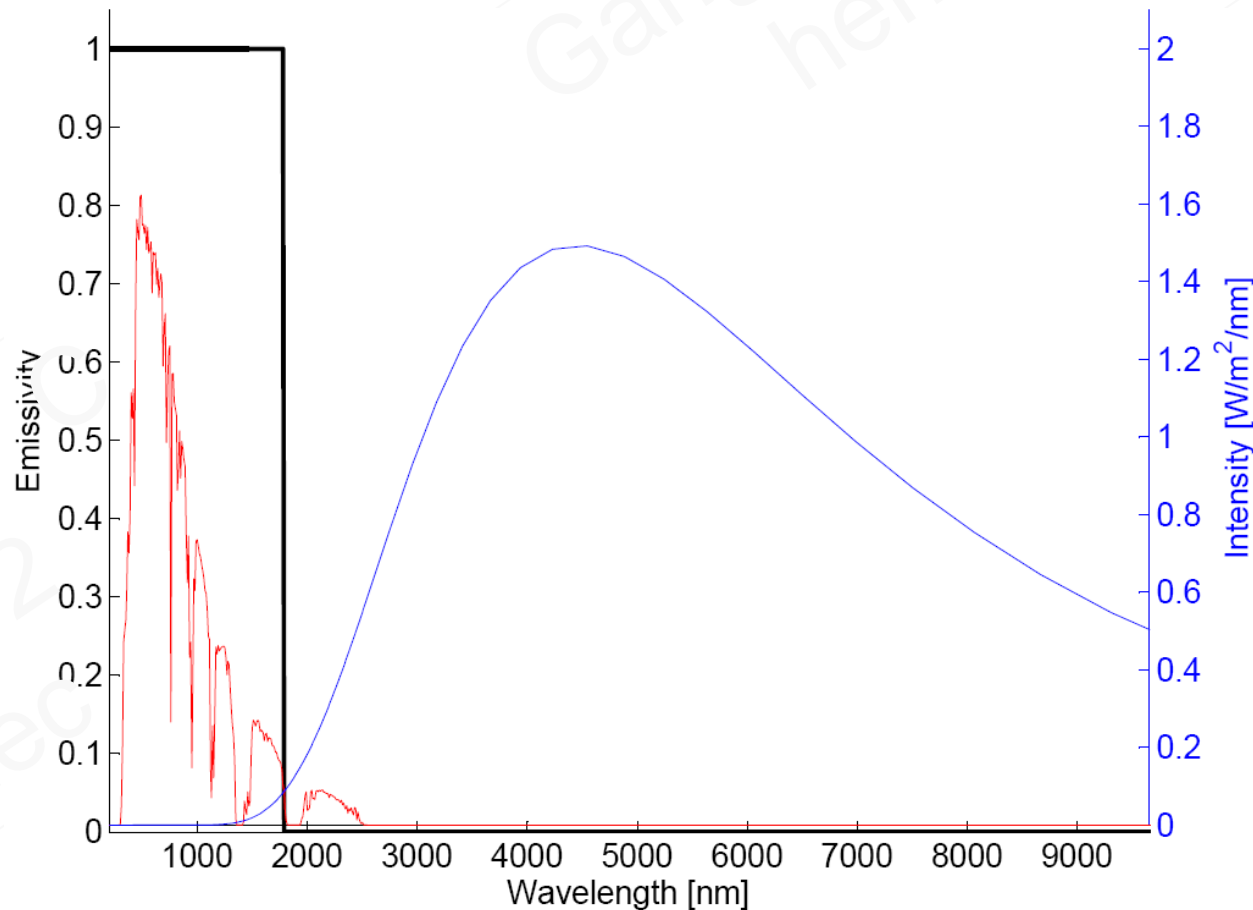


$$C \int_0^\infty \alpha_\lambda J_\lambda d\lambda = \int_0^\infty \epsilon_\lambda [e_{b\lambda}(T) - e_{b\lambda}(T_o)] d\lambda$$

Concentration

Selective surface

- Black body: emissivity = 1 for all wavelengths
- Selective surface: emissivity is high in the solar spectrum and low in the infrared. Ideally the transition would occur abruptly at the cutoff wavelength λ_c .



Approximate the Sun as A Blackbody

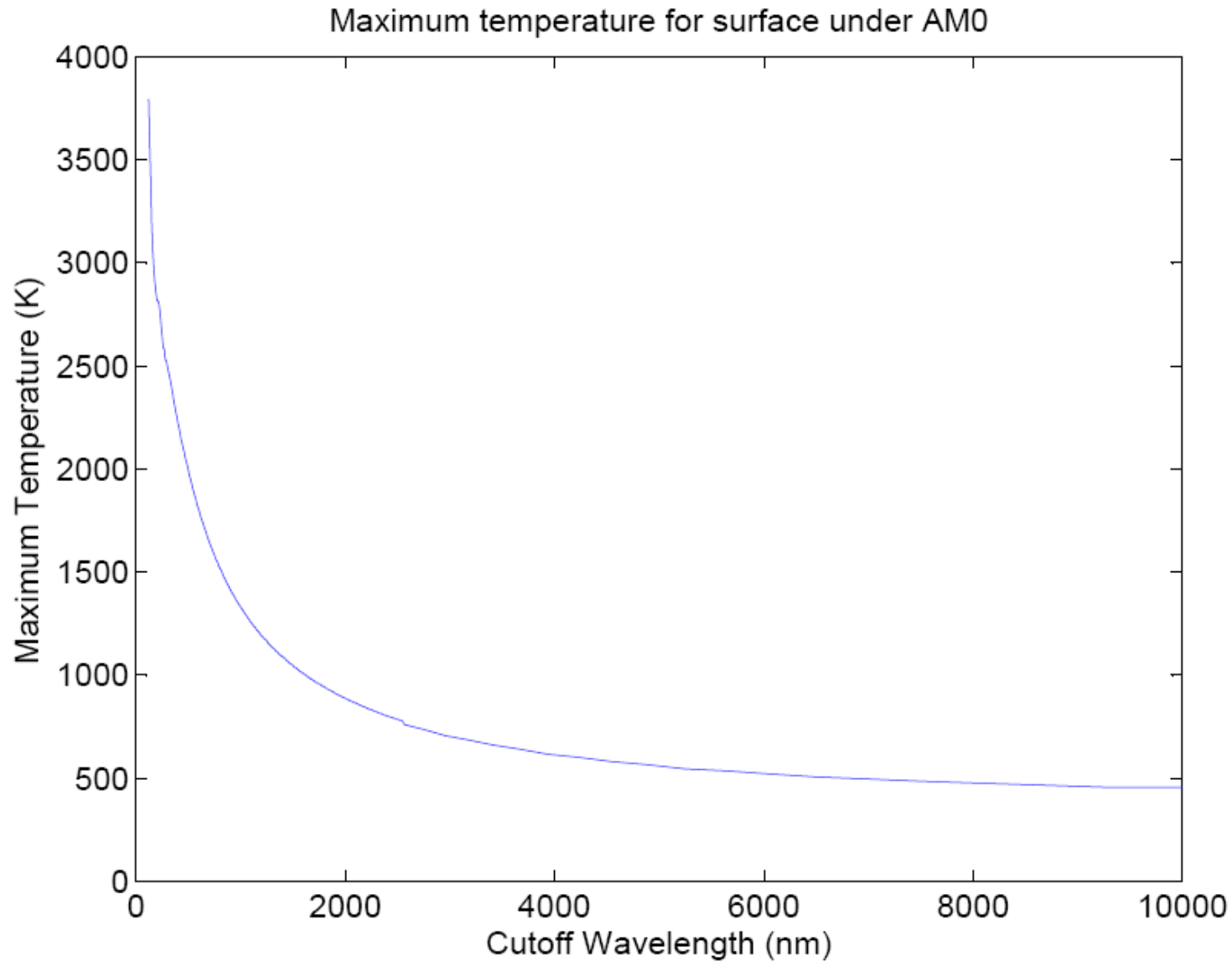
One Sun C=1

$$\int_0^{\lambda} A_{sun} F_{sun-surface} e_{b\lambda}(T_{sun}) d\lambda = \int_0^{\lambda} A[e_{b\lambda}(T) - e_{b\lambda}(T_o)] d\lambda$$

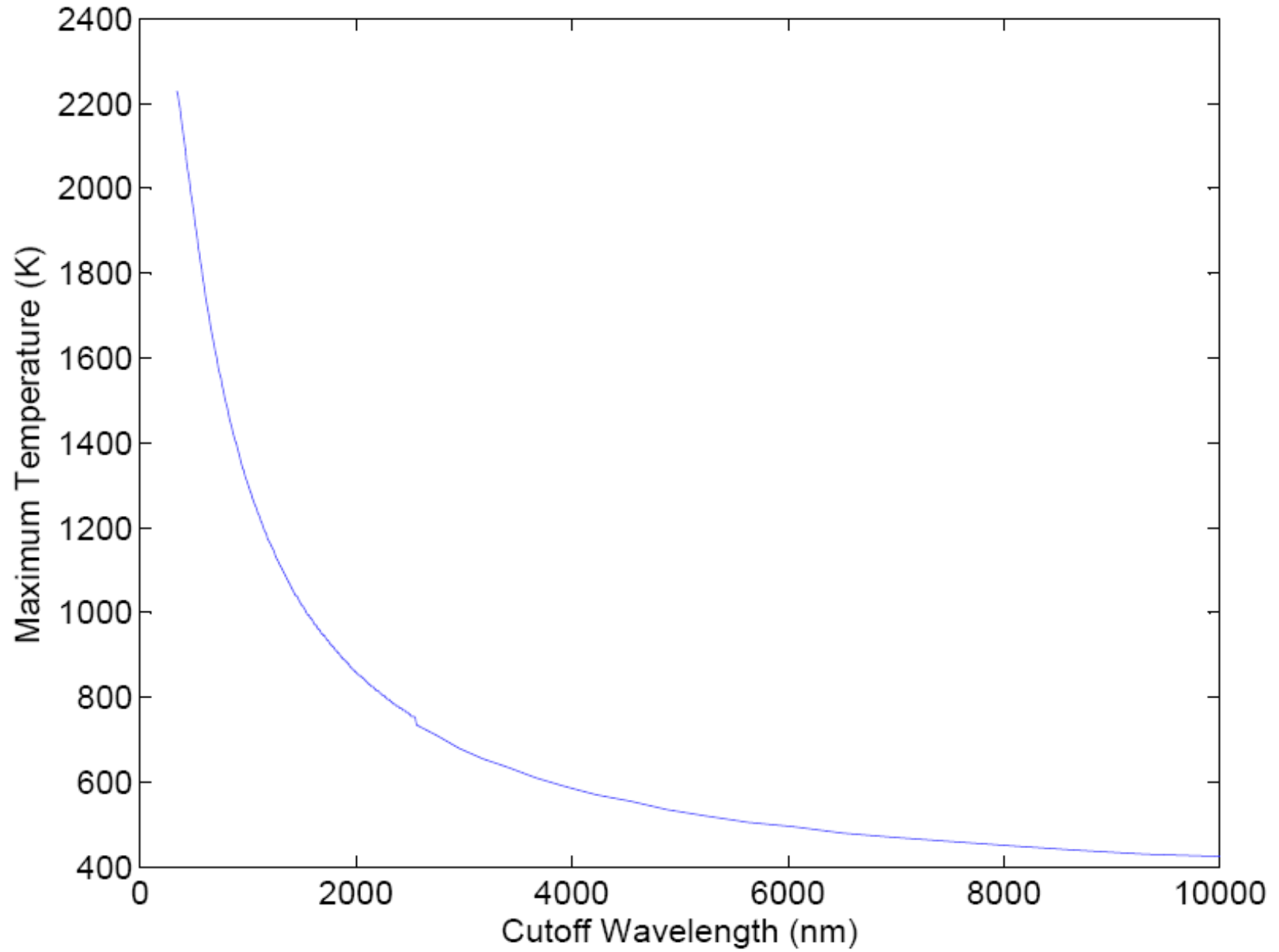
$$\int_0^{\lambda} AF_{e-s} e_{b\lambda}(T_{sun}) d\lambda = \int_0^{\lambda} A[e_{b\lambda}(T) - e_{b\lambda}(T_o)] d\lambda$$

$$F_{e-s} \frac{F(0-\lambda T_s)}{\sigma T_{sun}^4} = \frac{F(0-\lambda T)}{\sigma T^4} - \frac{F(0-\lambda T_a)}{\sigma T_a^4}$$

Maximum Temperature AM0

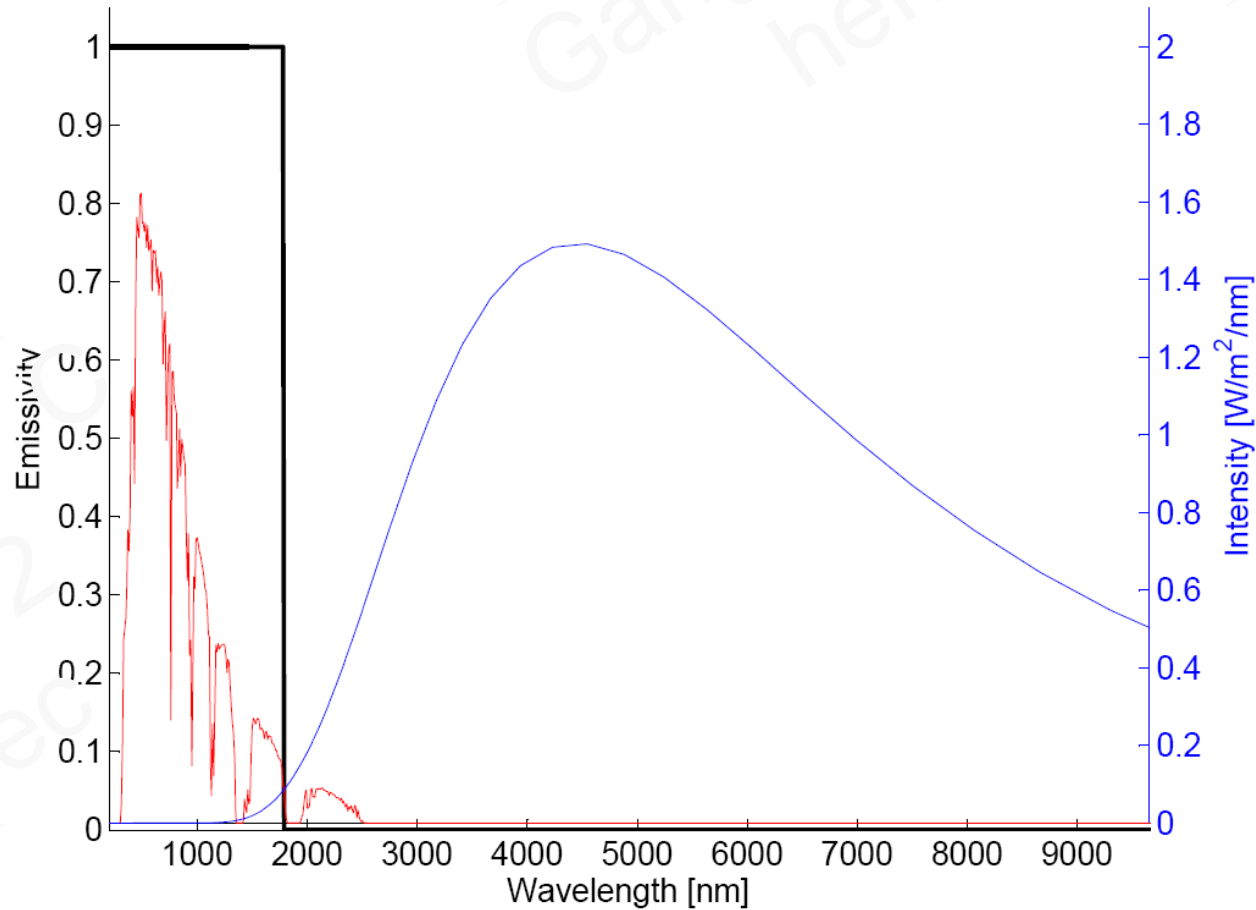


Maximum temperature for surface under AM1.5G

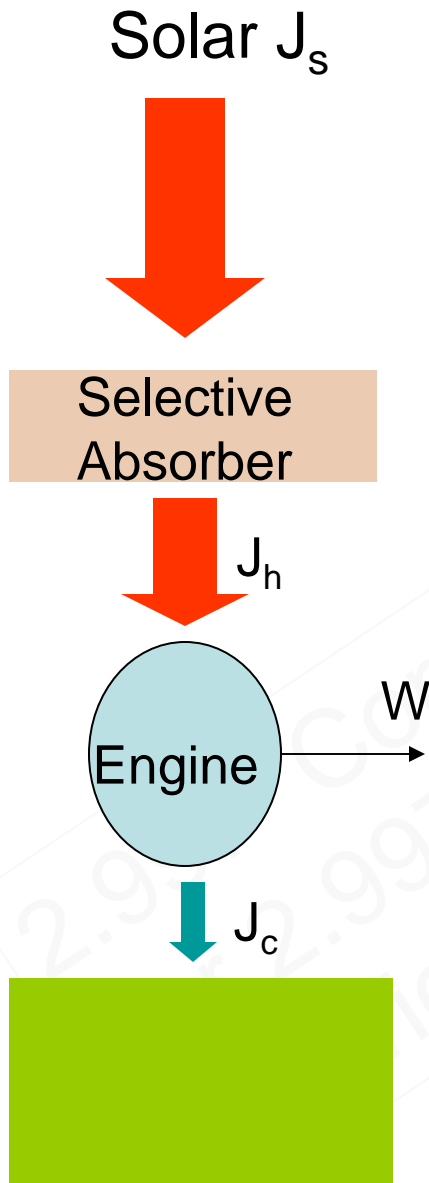


Selective surface

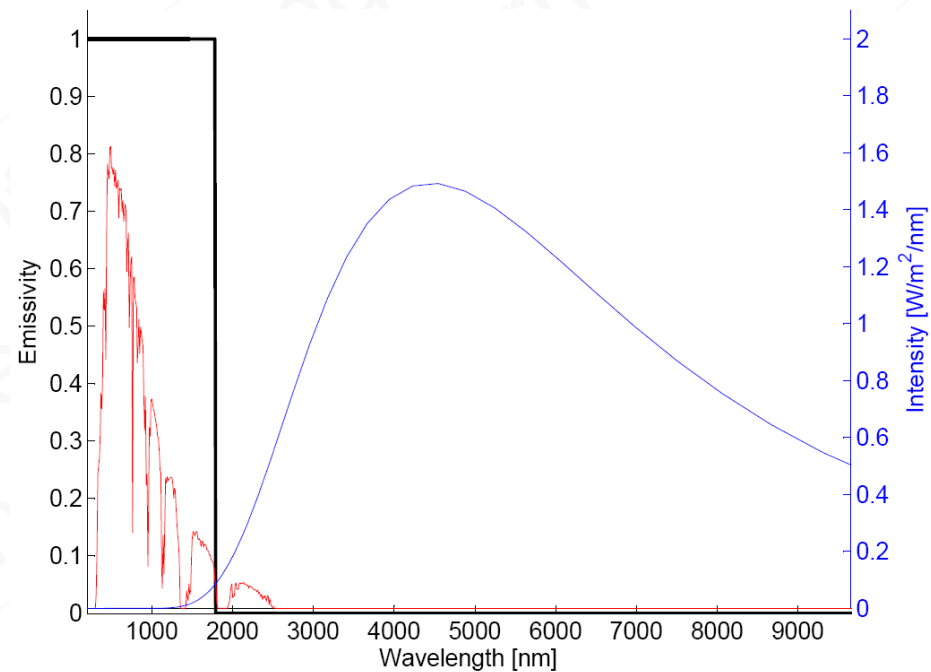
- Fix Temperature, choosing a cut-off wavelength



Efficiency of a Solar Thermal Engine: 1 Sun



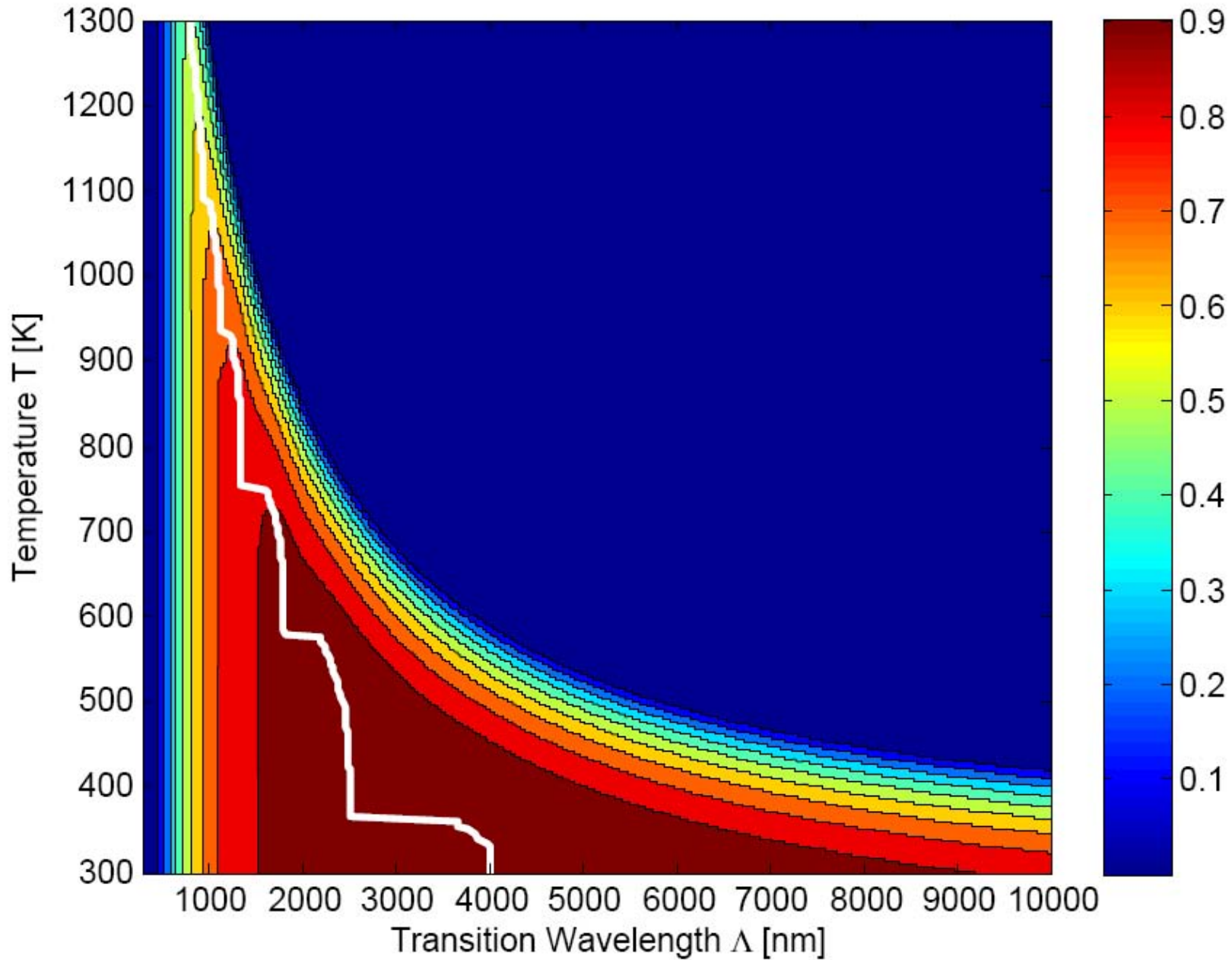
- Fix Temperature, choosing a cut-off wavelength



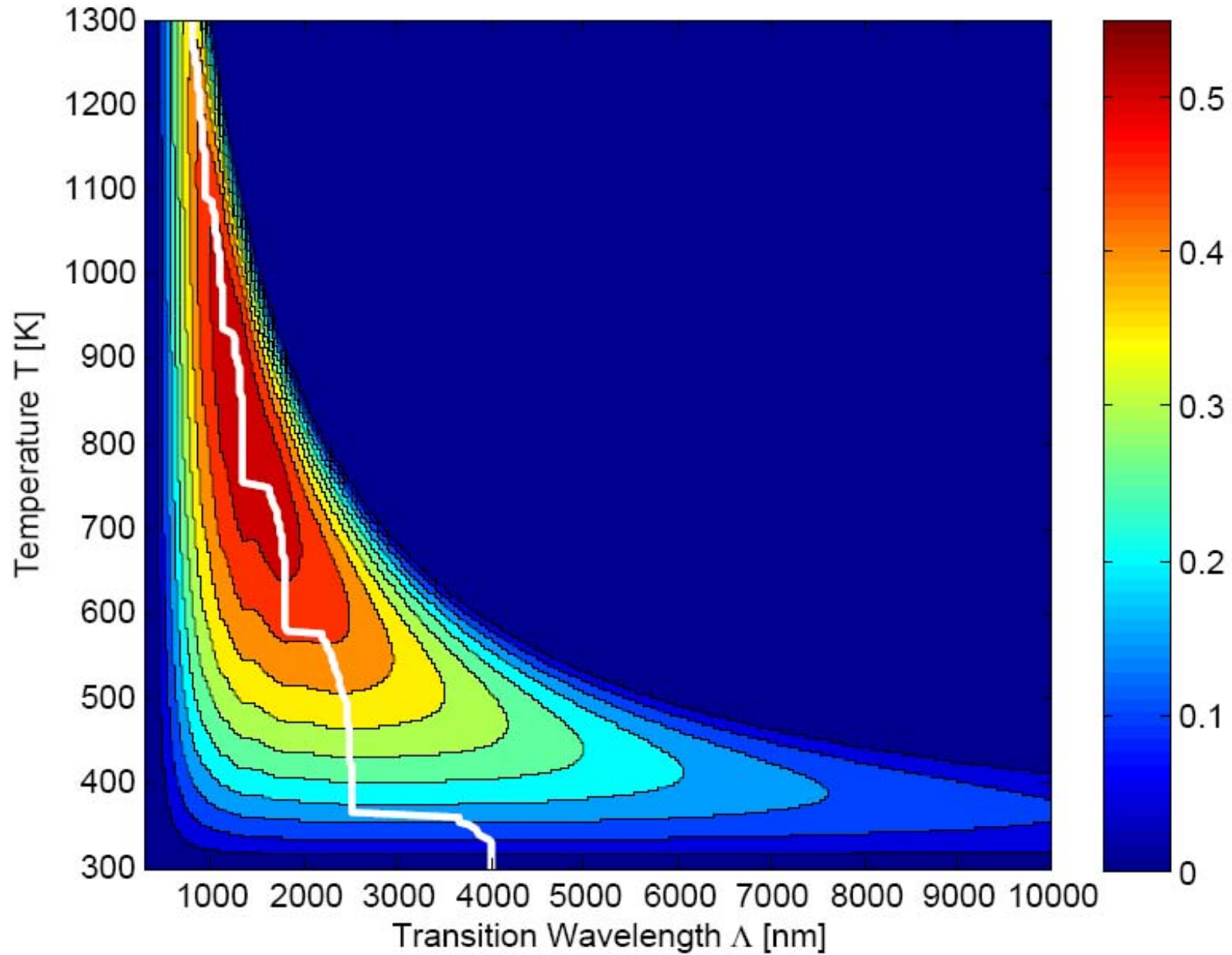
η_{th}

Thermal Efficiency

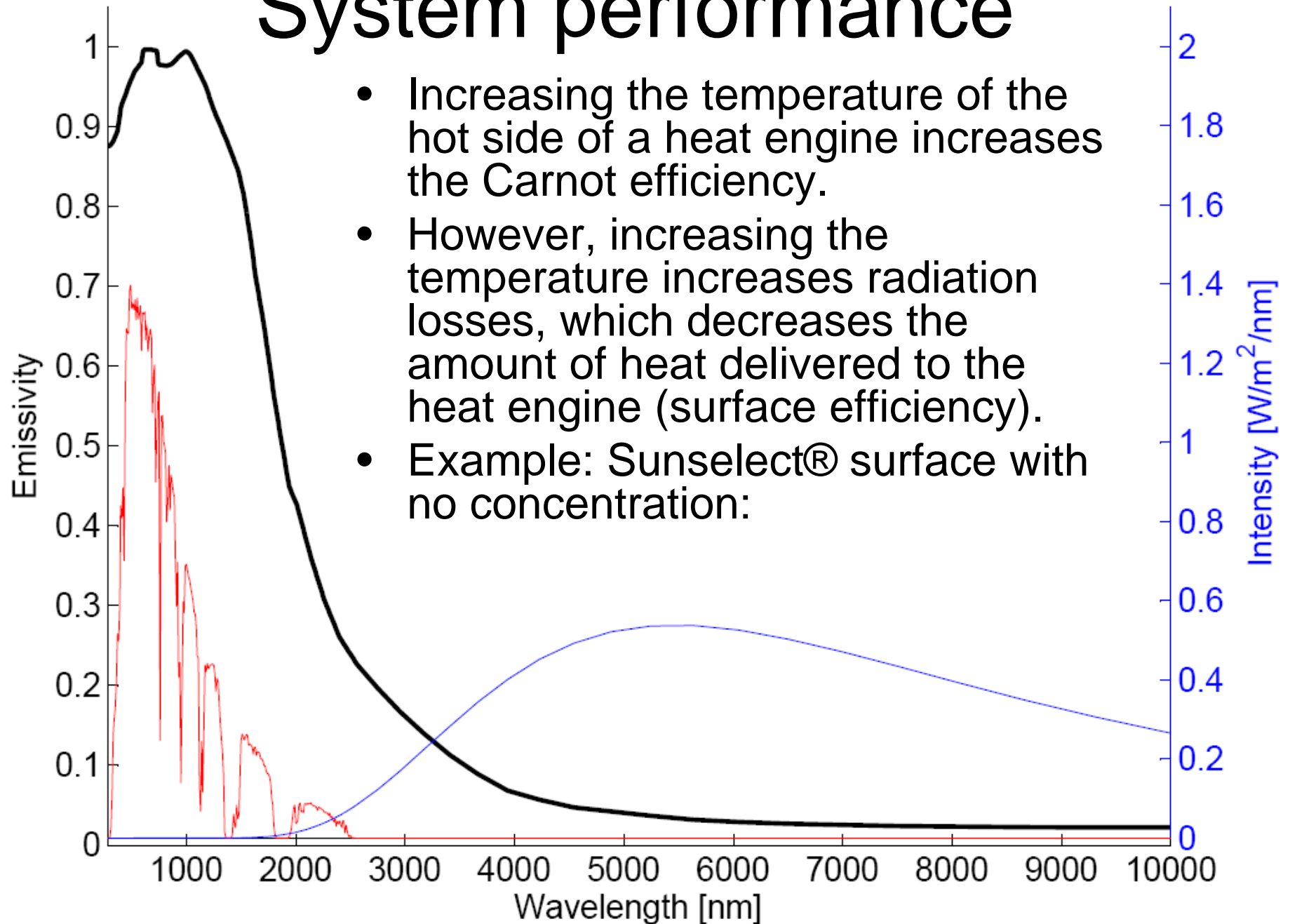
Thermal Efficiency for AM1.5G with No Concentration



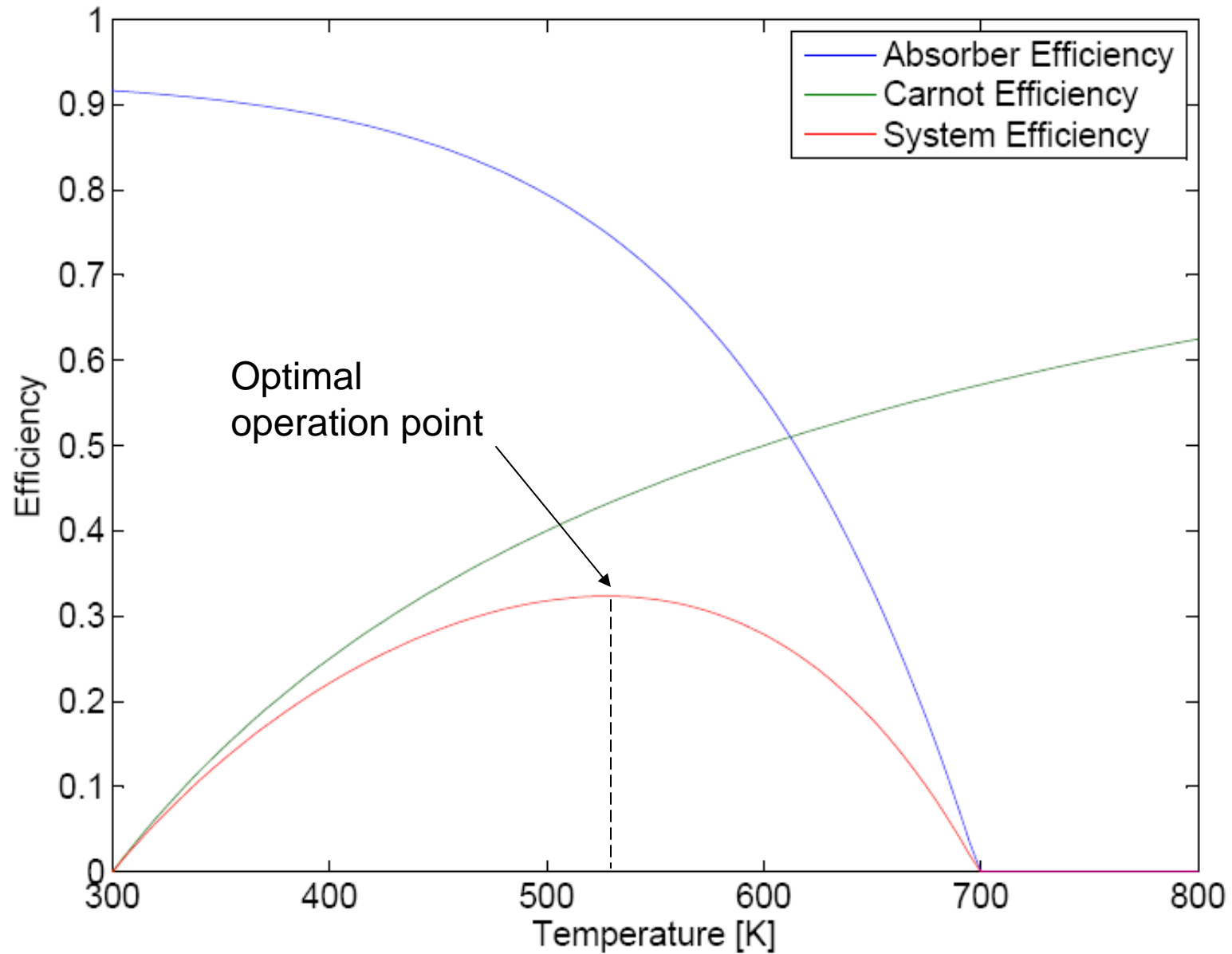
System (Surface x Carnot) Efficiency for AM1.5G with No Concentration



System performance

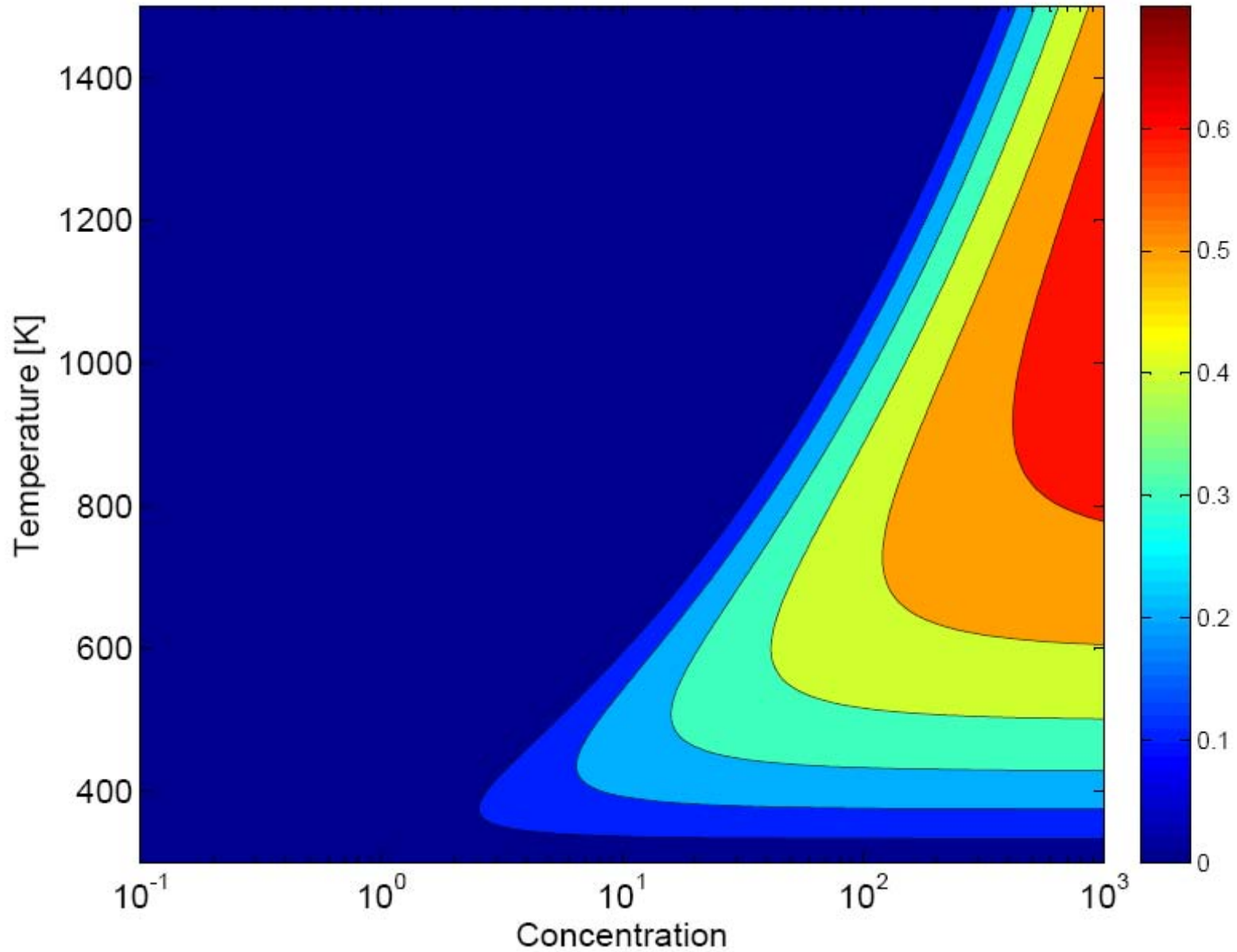


Sunselect absorber

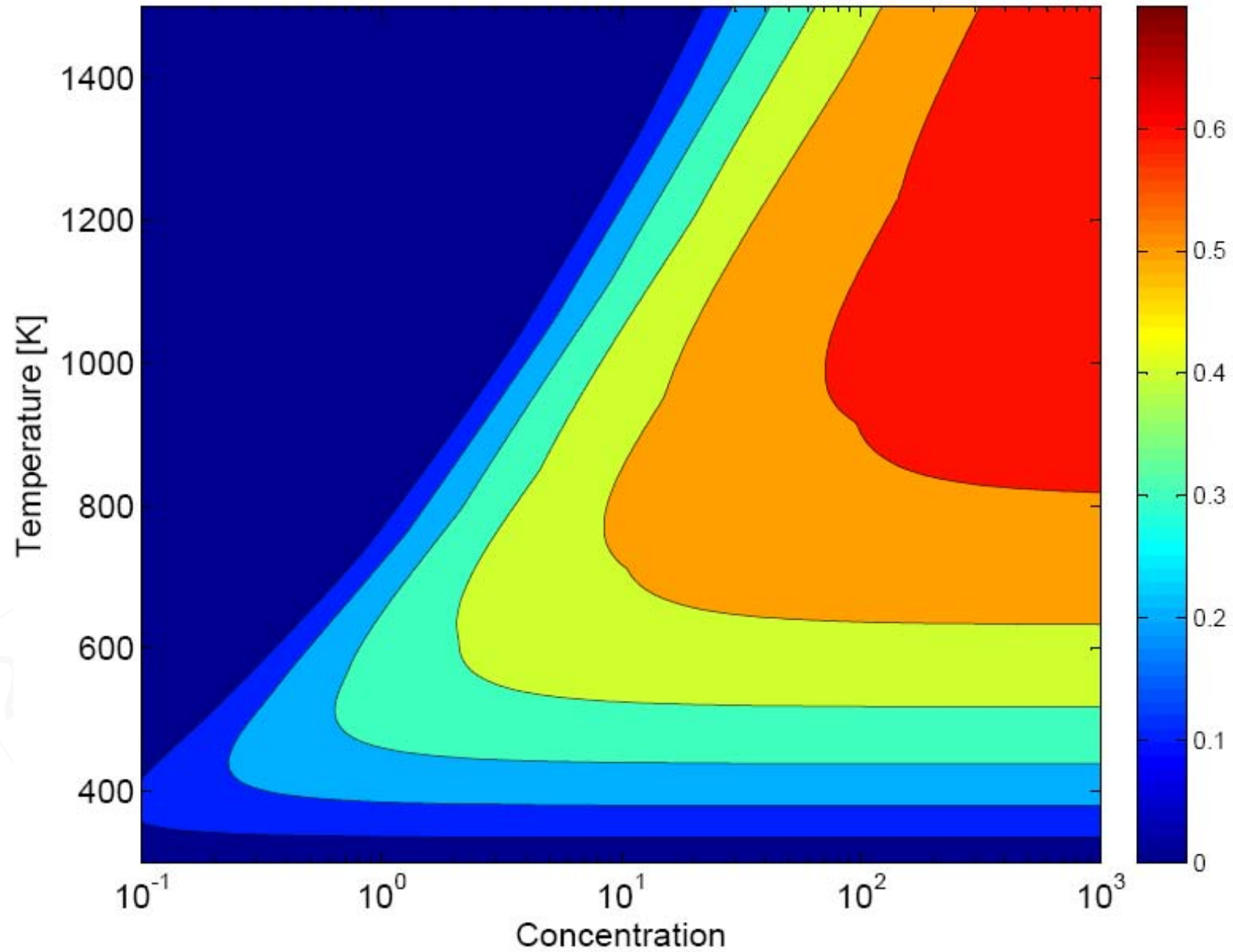


- This same analysis can be performed to find the ideal absorber for various concentrations and operating temperatures. This is the absolute upper limit on system efficiency.
- We can compare a blackbody, the Sunselect absorber, and an ideal absorber

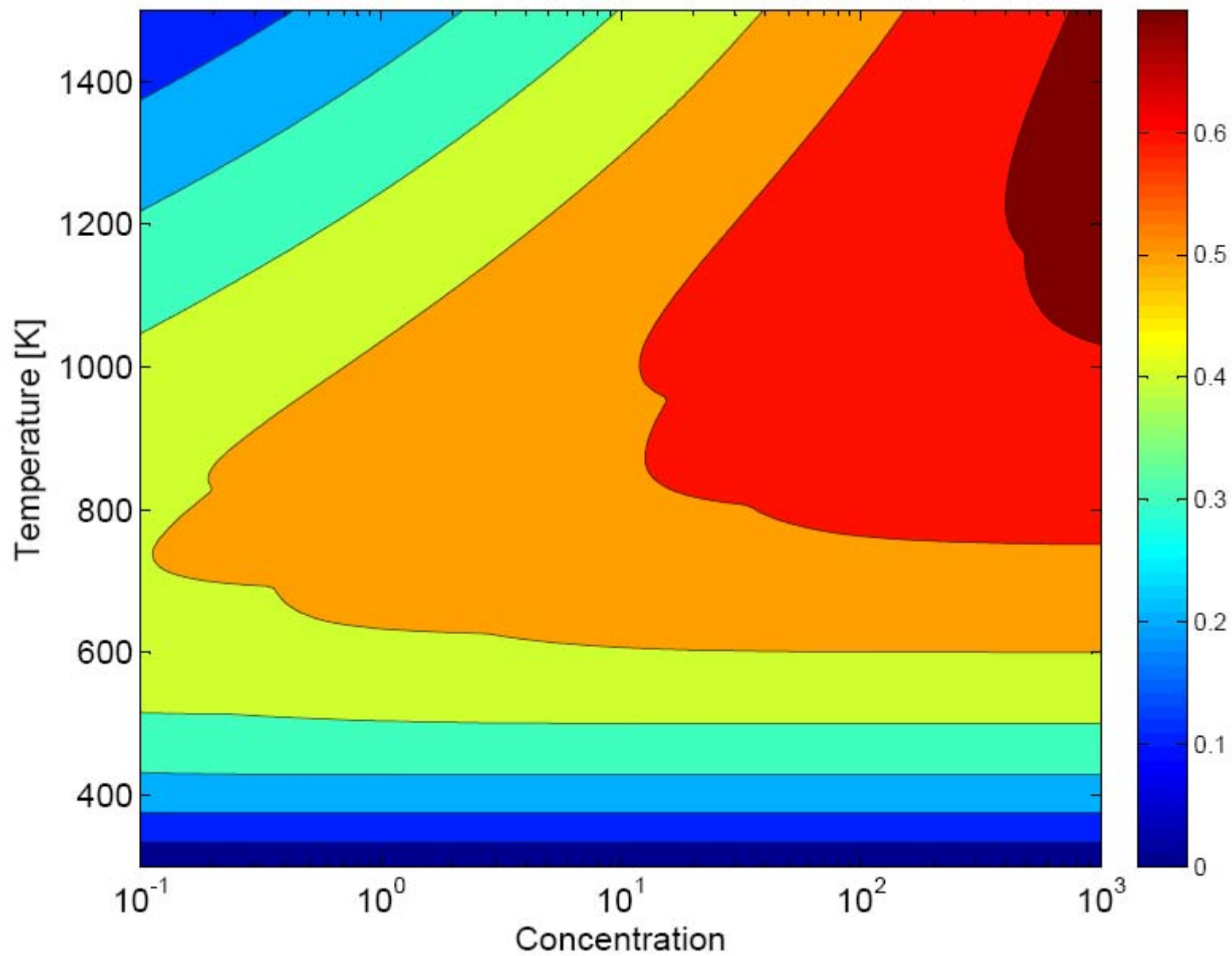
Blackbody absorber



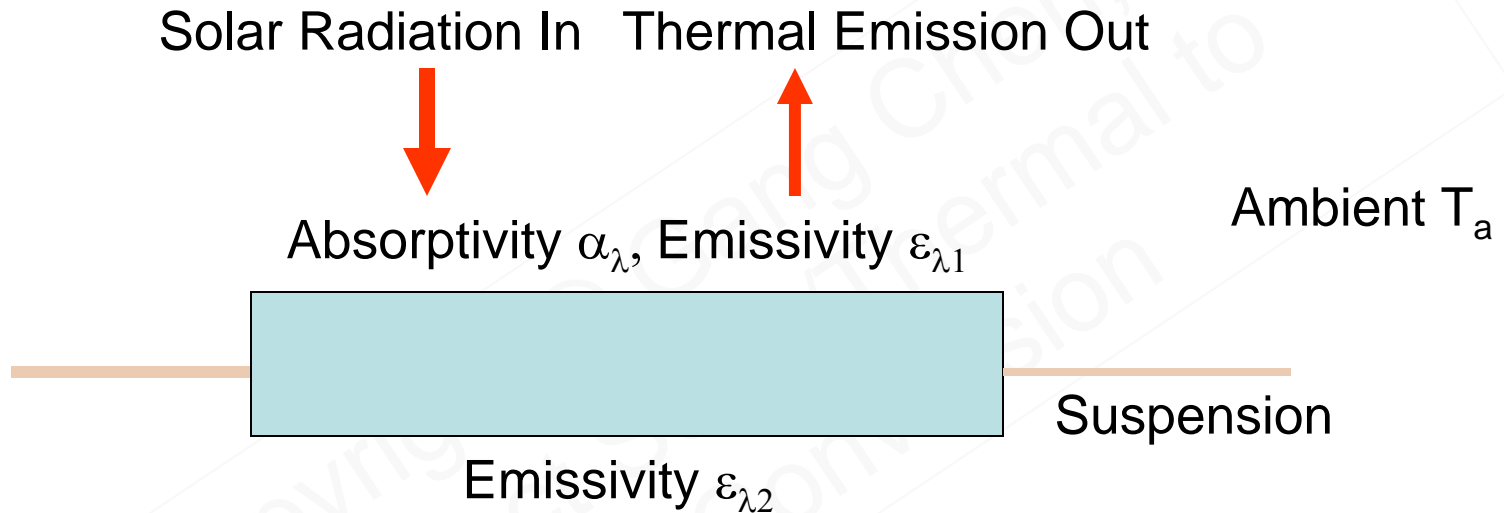
Sunselect absorber



Ideal absorber



Heat Transfer on A Suspended Surface



$$\int_0^\infty \alpha_\lambda J_\lambda d\lambda = \int_0^\infty \epsilon_\lambda [e_{b\lambda}(T) - e_{b\lambda}(T_o)] d\lambda + \frac{T - T_a}{R_{th}}$$

Thermal Resistance by Conduction

Heat Conduction

Heat Conduction



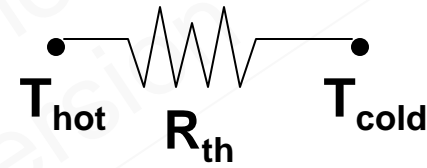
1D, no heat generation

$$\dot{Q} = kA \frac{T_{hot} - T_{cold}}{L} = \frac{T_{hot} - T_{cold}}{R_{th}}$$

Thermal Resistance

$$R_{th} = \frac{L}{kA}$$

Heat Current \dot{Q}



Convection

$$R_{th} = \frac{1}{hA}$$

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2.997 Direct Solar/Thermal to Electrical Energy Conversion Technologies
Fall 2009

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