

Types for Imperative Programs

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Big Step OS for λ calculus

- Configuration is simply a lambda expression
 - there is no state
- Result is a different lambda expression
- Inductive definition: Base case
$$\overline{x \rightarrow x}$$
- Inductive definition: recursive cases

$$\frac{e \rightarrow e'}{\lambda x. e \rightarrow \lambda x. e'}$$

$$\frac{??}{e_1 e_2 \rightarrow e_3}$$

Big Step OS for Imperative Programs

- The same techniques apply to programs with state
 - The big difference is that the configuration now includes state

- Example: IMP

$e := n \mid x \mid e_1 + e_2 \mid e_1 == e_2 \mid \text{True} \mid \text{False}$

$c := x := e \mid c_1 ; c_2 \mid \text{if } e \text{ then } c_1 \text{ else } c_2 \mid \text{while } e \text{ do } c \mid \text{skip}$

- Now we need two types of judgments

expressions result in values

commands change the state

$$\langle e, \sigma \rangle \rightarrow n$$

$$\langle c, \sigma \rangle \rightarrow \sigma'$$

Big Step OS for Imperative Programs

- Rules for expressions are very similar to what we had before

$$\frac{}{\langle N, \sigma \rangle \rightarrow n} \qquad \frac{\langle e_1, \sigma \rangle \rightarrow n_1 \quad \langle e_2, \sigma \rangle \rightarrow n_2 \quad n = n_1 + n_2}{\langle e_1 + e_2, \sigma \rangle \rightarrow n}$$

- We need a rule to read values from variables

$$\frac{}{\langle x, \sigma \rangle \rightarrow \sigma(x)}$$

Big Step OS for Imperative Programs

- Commands mutate the state

$$\frac{\langle e, \sigma \rangle \rightarrow e'}{\langle X := e, \sigma \rangle \rightarrow \sigma[X \rightarrow e']}$$

$$\frac{\langle c_1, \sigma \rangle \rightarrow \sigma'' \quad \langle c_2, \sigma'' \rangle \rightarrow \sigma'}{\langle c_1; c_2, \sigma \rangle \rightarrow \sigma'}$$

$$\frac{\langle e_1, \sigma \rangle \rightarrow \text{false} \quad \langle c_f, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } e_1 \text{ then } c_t \text{ else } c_f, \sigma \rangle \rightarrow \sigma'}$$

$$\frac{\langle e_1, \sigma \rangle \rightarrow \text{true} \quad \langle c_t, \sigma \rangle \rightarrow \sigma'}{\langle \text{if } e_1 \text{ then } c_t \text{ else } c_f, \sigma \rangle \rightarrow \sigma'}$$

- What about loops?

Big Step OS for Imperative Programs

- The definition for loops must be recursive

$$\frac{\langle e_1, \sigma \rangle \rightarrow false}{\langle while\ e_1\ then\ c\ ,\ \sigma \rangle \rightarrow \sigma}$$

$$\frac{\langle e_1, \sigma \rangle \rightarrow true \quad \langle c; while\ e_1\ then\ c, \sigma \rangle \rightarrow \sigma'}{\langle while\ e_1\ then\ c\ ,\ \sigma \rangle \rightarrow \sigma'}$$

$$\frac{\langle e_1, \sigma \rangle \rightarrow true \quad \langle c, \sigma \rangle \rightarrow \sigma'' \quad \langle while\ e_1\ then\ c, \sigma'' \rangle \rightarrow \sigma'}{\langle while\ e_1\ then\ c\ ,\ \sigma \rangle \rightarrow \sigma'}$$

Small Step Semantics

- Many design decisions
 - How small is a step?
 - How do we select the next step?
- These decisions need to be defined formally

Redex

- A redex is an expression that can be reduced in one atomic step.
- The first step in defining a small step semantics is to define the redexes.
- Ex.
 - In IMP: $n_1 + n_2$ | $x := n$ | skip; c | if true then c1 else c2 | if false then c1 else c2 | while b do c
 - In λ -calculus : $(\lambda x. v) e_2$, $(\lambda x. e_1) e_2$

Local reduction rules

- One for each redex
 - show how to advance one step of the execution
 - $\langle x, \sigma[x = n] \rangle \rightarrow \langle n, \sigma \rangle$
 - $\langle n_1 + n_2, \sigma \rangle \rightarrow \langle n, \sigma \rangle$ where $n = n_1 + n_2$
 - $\langle x := n, \sigma \rangle \rightarrow \langle \text{skip}, \sigma[x \rightarrow n] \rangle$
 - $\langle \text{skip}; c, \sigma \rangle \rightarrow \langle c, \sigma \rangle$
 - $\langle \text{if true then } c_1 \text{ else } c_2, \sigma \rangle \rightarrow \langle c_1, \sigma \rangle$
 - $\langle \text{if false then } c_1 \text{ else } c_2, \sigma \rangle \rightarrow \langle c_2, \sigma \rangle$
 - $\langle \text{while } b \text{ do } c, \sigma \rangle \rightarrow \langle \text{if } b \text{ then } (c; \text{while } b \text{ do } c) \text{ else skip}, \sigma \rangle$

Global reduction rules

- A simple algorithm
 - start with a program
 - identify a redex
 - reduce according to local reduction rules
 - repeat until you can't reduce anymore
- We need rules to define the next redex

Contexts

- We use H to refer to a context.
- $H[r]$ is a program fragment consisting of redex r in context H
- Global reduction rules can be defined from local reduction rules as follows
- if $\langle r, \sigma \rangle \rightarrow \langle e, \sigma' \rangle$ then $\langle H[r], \sigma \rangle \rightarrow \langle H[e], \sigma' \rangle$
- How we define the set of contexts will determine the order in which local reductions are applied.

Example

| Configuration | Context | Redex |
|-------------------------------------------|-------------------|---------|
| $\langle x := (x + 1) + 2, [x=2] \rangle$ | $x = (o + 1) + 2$ | x |
| $\langle x := (2 + 1) + 2, [x=2] \rangle$ | $x = o + 2$ | $2 + 1$ |
| $\langle x := 3 + 2, [x=2] \rangle$ | $x = o;$ | $3 + 2$ |
| $\langle x := 5, [x=2] \rangle$ | o | $x:=5$ |
| $\langle \text{skip}, [x=5] \rangle$ | | |

The context is a program with a hole

Contexts

- Contexts are defined by a grammar
- $H ::= o \mid n + H \mid H + e \mid x := H$
 $\mid \text{if } H \text{ then } c1 \text{ else } c2 \mid H; c$
- The grammar defines the evaluation order
 - Note in $a + b$, a is evaluated before b .
- We can define redexes and contexts to
 - define the order of evaluation
 - define short circuit behavior

Contexts

- How do we know if our contexts and redexes are well defined?
- Decomposition theorem:
 - If c is not “skip”, then there exist unique H and r such that c is $H[r]$
 - Exist guarantees progress
 - Unique guarantees determinism

ML Style References

- Adding references

$$\tau ::= \dots \mid \tau \text{ ref}$$
$$e ::= \dots \mid \text{ref } e \mid e_1 := e_2 \mid e_1; e_2 \mid !e$$

- Example:

$$(\lambda f: \text{int} \rightarrow (\text{int ref}). !(f\ 5)) (\lambda x: \text{int}. \text{ref } x)$$
$$(\lambda x: \text{int ref}. x := 7; !x) \text{ref } x$$

- Equational reasoning is gone!

Modeling the Heap

- Heap is a map from addresses to values
 - $h ::= \emptyset \mid h, a \rightarrow val: \tau$
- A Program is an expression + a heap
 - $p ::= heap\ h\ in\ e$
 - Heap addresses act as bound variables in expression

Small Step Semantics with Heap

- New contexts (in addition to the ones before)
 - $H := \text{ref } H \mid H := e \mid \text{addr} := H \mid !H$
- No new local reduction rules
- New global reduction rules
 - $\text{heap } h \text{ in } H[\text{ref } v : \tau] \rightarrow \text{heap } h, (a \rightarrow v) : \tau \text{ in } H[a]$
 - $\text{heap } h \text{ in } H[! a] \rightarrow \text{heap } h \text{ in } H[v]$
 - As long as $a \rightarrow v \in h$
 - $\text{heap } h \text{ in } H[a := v] \rightarrow \text{heap } h[a \rightarrow v] : \tau \text{ in } H[*]$

Additional typing rules for references

$$\frac{\Gamma \vdash e : \tau}{\Gamma \vdash (\text{ref } e : \tau) : \tau \text{ ref}}$$

$$\frac{\Gamma \vdash e : \tau \text{ ref}}{\Gamma \vdash !e : \tau}$$

$$\frac{\Gamma \vdash e_1 : \tau \text{ ref} \quad \Gamma \vdash e_2 : \tau}{\Gamma \vdash e_1 := e_2 : \text{unit}}$$

References and polymorphism

let $x: \forall t. (t \rightarrow t)ref = \Lambda t.ref (\lambda x: t.x)$
in $x[bool] := \lambda x: bool. not\ x;$
 $(! x[int])\ 5$

- This is a big problem
- Solution: Disallow side effects in *let*.

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