

Perturbation Theory II

(See CTDL 1095-1104, 1110-1119)

Last time:

$$\mathbf{H}^{(0)}\psi_n^{(0)} = E_n^{(0)}\psi_n^{(0)}$$

$\mathbf{H}^{(0)}$ is diagonal
 $\{\psi_n^{(0)}\}, \{E_n^{(0)}\}$ are
 basis functions and
 zero - order energies

$$E_n^{(1)} = H_{nn}^{(1)}$$

expectation value of
perturbation operator

$$E_n^{(2)} = \sum'_k \frac{|H_{nk}^{(1)}|^2}{E_n^{(0)} - E_k^{(0)}}$$

sum excludes $k = n$
matrix element vs. energy denominator

↑
1st index

$$E_n = E_n^{(0)} + E_n^{(1)} + E_n^{(2)}$$

$$\psi_n^{(1)} = \sum'_k \frac{H_{nk}^{(1)}}{E_n^{(0)} - E_k^{(0)}} \psi_k^{(0)}$$

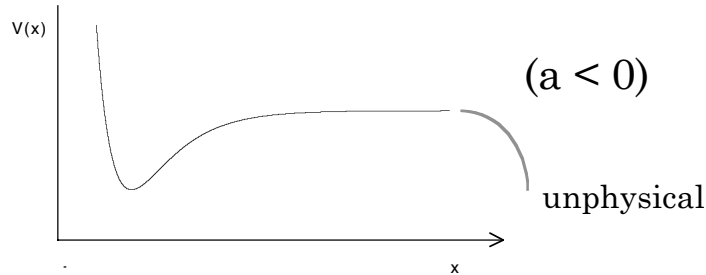
sum excludes $k = n$

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 mixing coefficient, order
 sorting parameter,
 convergence criterion

Today:

1. cubic anharmonic perturbation
 \mathbf{x}^3 vs. $\mathbf{a}, \mathbf{a}^\dagger$
 \mathbf{ax}^3 ωx and Y_{00} contributions
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2. nonlecture Morse oscillator \leftrightarrow pert. theory for \mathbf{ax}^3
3. transition probabilities — orders and convergence of p.t.
 Mechanical and electronic anharmonicities.

Example 1. $\mathbf{H} = \underbrace{\frac{\mathbf{p}^2}{2m} + \frac{1}{2}k\mathbf{x}^2}_{\mathbf{H}^{(0)}} + \underbrace{a\mathbf{x}^3}_{\mathbf{H}^{(1)}}$



need matrix elements of \mathbf{x}^3

one (longer) way $x_{i\ell}^3 = \sum_{j,k} x_{ij}x_{jk}x_{k\ell}$

4 different selection rules: $\ell - i = 3, 1, -1, -3$ one path
 $\ell - i = 3 \quad i \rightarrow i + 1, i + 1 \rightarrow i + 2, i + 2 \rightarrow i + 3$

$$[(i+1)(i+2)(i+3)]^{1/2}$$

$\ell - i = 1 \quad i \rightarrow i + 1, i + 1 \rightarrow i + 2, i + 2 \rightarrow i + 1$
 $i \rightarrow i - 1, i - 1 \rightarrow i, i \rightarrow i + 1$
 $i \rightarrow i + 1, i + 1 \rightarrow i, i \rightarrow i + 1$

There are three 3-step paths from i to $i + 1$. Add them.

$$[(i+1)(i+2)(i+2)]^{1/2} + [(i)(i)(i+1)]^{1/2} + [(i+1)(i+1)(i+1)]^{1/2}$$

algebraically complicated

other (shorter) alternative: $\mathbf{a}, \mathbf{a}^\dagger$, and $\mathbf{a}^\dagger\mathbf{a}$

$$\mathbf{x}^3 = \left(\frac{\hbar}{m\omega}\right)^{3/2} \tilde{\mathbf{x}}^3 = \left(\frac{\hbar}{m\omega}\right)^{3/2} \left[2^{-1/2}(\mathbf{a} + \mathbf{a}^\dagger)\right]^3$$

$$= \left(\frac{\hbar}{2m\omega}\right)^{3/2} (\mathbf{a} + \mathbf{a}^\dagger)^3$$

$$(\mathbf{a} + \mathbf{a}^\dagger)^3 = \mathbf{a}^3 + [\mathbf{a}^\dagger\mathbf{a}\mathbf{a} + \mathbf{a}\mathbf{a}^\dagger\mathbf{a} + \mathbf{a}\mathbf{a}\mathbf{a}^\dagger] + [\mathbf{a}\mathbf{a}^\dagger\mathbf{a}^\dagger + \mathbf{a}^\dagger\mathbf{a}\mathbf{a}^\dagger + \mathbf{a}^\dagger\mathbf{a}^\dagger\mathbf{a}] + \mathbf{a}^{\dagger 3}$$

four terms, four different selection rules.

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Use simple $\mathbf{a}, \mathbf{a}^\dagger$ algebra to work out all matrix elements and selection rules by inspection.

recall: $\mathbf{a}^\dagger|n\rangle = (n+1)^{1/2}|n+1\rangle$, $\mathbf{a}|n\rangle = n^{1/2}|n-1\rangle$, $\mathbf{a}^\dagger\mathbf{a}|n\rangle = n|n\rangle$
 $[\mathbf{a}, \mathbf{a}^\dagger] = 1 \quad \therefore \mathbf{a}\mathbf{a}^\dagger = 1 + \mathbf{a}^\dagger\mathbf{a}$ prescription for permuting \mathbf{a} thru \mathbf{a}^\dagger

$$\Delta n = -3 \quad \mathbf{a}_{n-3,n}^3 = [(n-2)(n-1)(n)]^{1/2}$$

$$\Delta n = +3 \quad \mathbf{a}_{n+3,n}^{\dagger 3} = [(n+3)(n+2)(n+1)]^{1/2}$$

$$\Delta n = -1 \quad [\mathbf{a}^\dagger\mathbf{a}\mathbf{a} + \mathbf{a}\mathbf{a}^\dagger\mathbf{a} + \mathbf{a}\mathbf{a}\mathbf{a}^\dagger]_{n-1,n}$$

goal is to rearrange each product so that it has number operator at right

$$\mathbf{a}^\dagger\mathbf{a}\mathbf{a} = \mathbf{a}\mathbf{a}^\dagger\mathbf{a} - \mathbf{a}$$

$$\mathbf{a}\mathbf{a}\mathbf{a}^\dagger = \mathbf{a}\mathbf{a}^\dagger\mathbf{a} + \mathbf{a}$$

$$\mathbf{a}\mathbf{a}^\dagger\mathbf{a} = \mathbf{a}\mathbf{a}^\dagger\mathbf{a}$$

$$3\mathbf{a}\mathbf{a}^\dagger\mathbf{a} + 0$$

$$\Delta n = -1 \quad []_{n-1,n} = 3(\mathbf{a}\mathbf{a}^\dagger\mathbf{a})_{n-1,n} = \langle n-1|3\mathbf{a}(\mathbf{a}^\dagger\mathbf{a})|n\rangle = 3n^{3/2}$$

$$\Delta n = +1 \quad [\mathbf{a}\mathbf{a}^\dagger\mathbf{a}^\dagger + \mathbf{a}^\dagger\mathbf{a}\mathbf{a}^\dagger + \mathbf{a}^\dagger\mathbf{a}^\dagger\mathbf{a}]$$

$$\mathbf{a}\mathbf{a}^\dagger\mathbf{a}^\dagger = \mathbf{a}^\dagger\mathbf{a}\mathbf{a}^\dagger + \mathbf{a}^\dagger = \mathbf{a}^\dagger\mathbf{a}^\dagger\mathbf{a} + 2\mathbf{a}^\dagger$$

$$\mathbf{a}^\dagger\mathbf{a}\mathbf{a}^\dagger = \mathbf{a}^\dagger\mathbf{a}^\dagger\mathbf{a} + \mathbf{a}^\dagger$$

$$\mathbf{a}^\dagger\mathbf{a}^\dagger\mathbf{a} = \mathbf{a}^\dagger\mathbf{a}^\dagger\mathbf{a}$$

$$3\mathbf{a}^\dagger\mathbf{a}^\dagger\mathbf{a} + 3\mathbf{a}^\dagger$$

$$3\langle n+1|(\mathbf{a}^\dagger\mathbf{a}^\dagger\mathbf{a} + \mathbf{a}^\dagger)|n\rangle = 3(n(n+1)^{1/2} + (n+1)^{1/2}) = 3(n+1)^{3/2}$$

all done — not necessary to massage the algebra as it would have been for \mathbf{x}^3 by direct \mathbf{x} multiplication!

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Now do the perturbation theory:

$$E_n = E_n^{(0)} + E_n^{(1)} + E_n^{(2)} = \hbar\omega(n + 1/2) + 0 + \sum'_k \frac{|H_{nk}^{(1)}|^2}{E_n^{(0)} - E_k^{(0)}} \quad \uparrow \quad \boxed{x_{nn}^3 = 0}$$

	$ H_{nk}^{(1)} ^2$	$E_n^{(0)} - E_k^{(0)}$
$k = n - 3$	$a^2 \left(\frac{\hbar}{2m\omega} \right)^3 (n-2)(n-1)(n)$	$+3\hbar\omega$
$k = n - 1$	$a^2 \left(\frac{\hbar}{2m\omega} \right)^3 9n^3$	$+1\hbar\omega$
$k = n + 3$	$a^2 \left(\frac{\hbar}{2m\omega} \right)^3 9(n+1)^3$	$-1\hbar\omega$
$k = n + 3$	$a^2 \left(\frac{\hbar}{2m\omega} \right)^3 (n+3)(n+2)(n+1)$	$-3\hbar\omega$

$$E_n^{(2)} = \frac{a^2 \left(\frac{\hbar}{2m\omega} \right)^3}{\underbrace{\hbar\omega}_{\text{all of the constants}}} \left[\frac{(n-2)(n-1)(n)}{3} - \frac{(n+3)(n+2)(n+1)}{3} + \frac{9n^3}{1} - \frac{9(n+1)^3}{1} \right]$$

2 nearly cancelling pairs

$$E_n^{(2)} = \frac{a^2 \hbar^2}{8m^3 \omega^4} \left[-30(n+1/2)^2 - 3.5 \right] \quad \text{algebra}$$

$$E_n^{(2)} = -\frac{a^2 \hbar^2}{m^3 \omega^4} \left[\frac{15}{4}(n+1/2)^2 + \frac{7}{16} \right] \quad (m^3 \omega^4 = mk^2)$$

↑
all levels shifted down regardless of sign of a — can't measure sign of cubic anharmonicity constant, a, from vibrational structure alone

$$E_n = \hbar\omega(n + 1/2) - \underbrace{\hbar \frac{15}{4} \left(\frac{a^2 \hbar}{m^3 \omega^4} \right)}_{\hbar\omega_e x_e} (v + 1/2)^2 - \hbar \frac{7}{16} \left(\frac{a^2 \hbar}{m^3 \omega^4} \right) \quad \hbar Y_{00}$$

$$E_n = \hbar \left[Y_{00} + \omega_e (v + 1/2) - \omega_e x_e (v + 1/2)^2 + \omega_e y_e (v + 1/2)^3 \dots \right]$$

$a x^3$ makes contributions exclusively to Y_{00} and $\omega_e x_e$

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Nonlecture

Morse Oscillator via perturbation theory

$$V(x) = D[1 - e^{-\alpha x}]^2$$

$$E_n = \hbar \left[(n + 1/2)\omega - (n + 1/2)^2 \omega x \right]$$

by WKB or DVR

known in advance — compare to pert. theory applied to Taylor series expansion of $V(x)$

Our initial goal is to re-express the Morse potential in terms of ω and ωx rather than D and α . Then we will expand V^{MORSE} in a Taylor series and look at the coefficient of the x^3 term. First we must take derivatives of E_v with respect to $v \equiv n + 1/2$

$$\text{at dissociation, } \frac{dE_v}{dv} = 0 = \hbar(\omega - 2(n + 1/2)\omega x)$$

$$\frac{\omega}{2\omega x} = \underset{\substack{\uparrow \\ \text{at dissociation asymptote}}}{n_D + 1/2}$$

$$\therefore D = E_{n_D} = \hbar \left(\frac{\omega}{\frac{2\omega x}{n_D + 1/2}} - \frac{\omega^2}{\frac{4\omega x^2}{(n_D + 1/2)^2}} \omega x \right)$$

$$D = \hbar \frac{\omega^2}{4\omega x}$$

now expand $V(x)$

$$V(0) = 0$$

$$V'(x) = \frac{\hbar\omega^2}{4\omega x} [2\alpha e^{-\alpha x} - 2\alpha e^{-2\alpha x}] \quad , \quad V'(0) = 0$$

$$V''(x) = \frac{\hbar\omega^2}{4\omega x} [-2\alpha^2 e^{-\alpha x} + 4\alpha^2 e^{-2\alpha x}] \quad , \quad V''(0) = \frac{\hbar\omega^2}{4\omega x} 2\alpha^2 = \frac{\hbar\alpha^2\omega^2}{2\omega x}$$

$$V'''(x) = \frac{\hbar\omega^2}{4\omega x} [2\alpha^3 e^{-\alpha x} - 8\alpha^3 e^{-2\alpha x}] \quad , \quad V'''(0) = -\frac{3\hbar\omega^2\alpha^3}{2\omega x}$$

but

$$V''(0) \equiv k = m\omega^2 = \frac{\hbar\alpha^2\omega^2}{2\omega x} \rightarrow \alpha = \left(\frac{2m\omega x}{\hbar} \right)^{1/2}$$

$$V'''(0) = -\frac{3}{2} \frac{\hbar\omega^2}{\omega x} \left(\frac{2m\omega x}{\hbar} \right)^{3/2}$$

$$V(x) = \frac{1}{2} kx^2 + ax^3 \quad \text{thus} \quad V'''(x) = 6a$$

$$a = -\frac{1}{4} \frac{\hbar\omega^2}{\omega x} \left(\frac{2m\omega x}{\hbar} \right)^{3/2} \rightarrow a^2 = \frac{1}{2} \frac{\omega^4 m^3 \omega x}{\hbar}$$

now we can eliminate α from higher derivatives (at $x = 0$). This is to be compared to $V'''(0)$ for the cubic anharmonic potential.

$$\left. \begin{array}{l} \therefore \omega x = 2 \frac{a^2 \hbar}{m^3 \omega^4} \\ \text{from pert. theory (\#15-4)} \quad \omega x = \frac{15}{4} \frac{a^2 \hbar}{m^3 \omega^4} \end{array} \right\} \begin{array}{l} \text{same functional form but different} \\ \text{numerical factor (2 vs. 3.75)} \end{array}$$

One reason that the result from second-order perturbation theory applied directly to $V(x) = kx^2/2 + ax^3$ and the term-by-term comparison of the power series expansion of the Morse oscillator are not identical is that contributions are neglected from higher derivatives of the Morse potential to the $(n + 1/2)^2$ term in the energy level expression. In particular

$$E_n^{(1)} = V''''(0) x^4 / 4! = \left[7/2 \frac{\hbar \omega^2 \alpha^4}{\omega x} \right] x^4 / 24$$

$$\langle n | x^4 | n \rangle = \left(\frac{\hbar}{2m\omega} \right)^2 \left[4(n + 1/2)^2 + 2 \right]$$

contributes in first order of perturbation theory to the $(n + 1/2)^2$ term in E_n .

$$E_n^{(1)} = \frac{7}{12} \omega x (n + 1/2)^2 + \frac{7}{24} \omega x$$

Example 2 Use perturbation theory to compute some property other than Energy

need $\psi_n = \psi_n^{(0)} + \psi_n^{(1)}$ to calculate matrix elements of the operator in question, for example, transition probability, x : for electric dipole transitions, transition probability is $P_{n' \leftarrow n} \propto |x_{nn'}|^2$

For H - O $n \rightarrow n \pm 1$ only

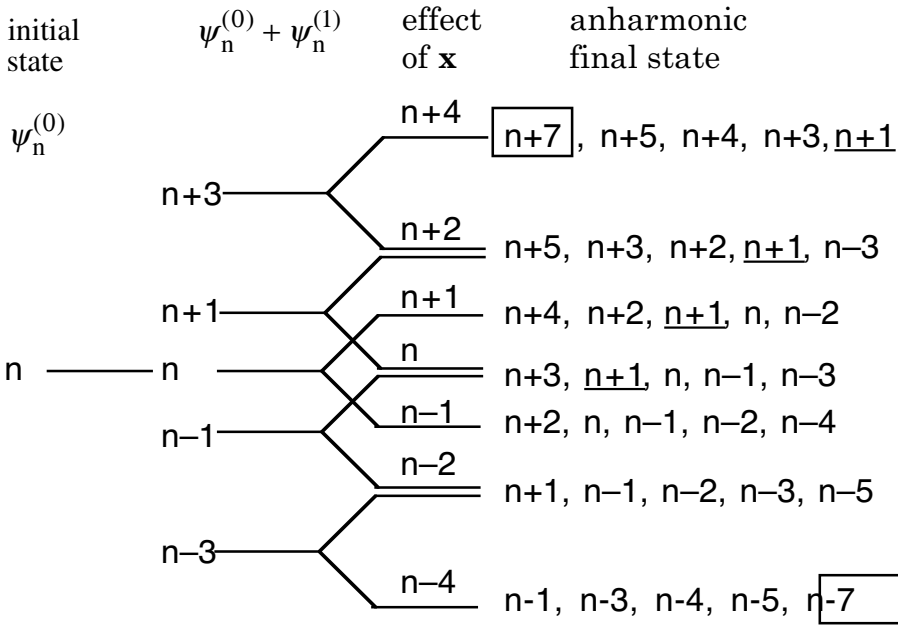
$$|x_{nn+1}|^2 = \left(\frac{\hbar}{2m\omega} \right) (n + 1)$$

Standard result. Now allow for mechanical and electronic anharmonicity.

for perturbed H-O $H^{(1)} = ax^3$

$$\psi_n = \psi_n^{(0)} + \sum_k' \frac{H_{kn}^{(1)}}{E_n^{(0)} - E_k^{(0)}} \psi_k^{(0)}$$

$$\psi_n = \psi_n^{(0)} + \frac{H_{nn+3}^{(1)}}{-3\hbar\omega} \psi_{n+3}^{(0)} + \frac{H_{nn+1}^{(1)}}{-\hbar\omega} \psi_{n+1}^{(0)} + \frac{H_{nn-1}^{(1)}}{\hbar\omega} \psi_{n-1}^{(0)} + \frac{H_{nn-3}^{(1)}}{3\hbar\omega} \psi_{n-3}^{(0)}$$



Many paths which interfere constructively and destructively in $|x_{nn'}|^2$

$$n' = n + 7, n + 5, n + 4, n + 3, n + 2, \underline{n + 1}, \underline{n}, \underline{n - 1}, n - 2, n - 3, n - 4, n - 5, n - 7$$

only paths for H-O!

The transition strengths may be divided into 3 classes

1. direct: $n \rightarrow n \pm 1$
2. one anharmonic step $n \rightarrow n + 4, n + 2, n, n - 2, n - 4$
3. 2 anharmonic steps $n \rightarrow n + 7, n + 5, n + 3, n + 1, n - 1, n - 3, n - 5, n - 7$

Work thru the $\Delta n = -7$ path

$$\langle n|x|n+7\rangle = \left(\frac{\hbar}{2m\omega}\right)^{3/2+3/2+1/2} \left[\frac{a^2}{(-3\hbar\omega)^2} \right] \left[\underbrace{(n+1)(n+2)(n+3)}_{x_{n,n+3}} \underbrace{(n+4)(n+5)(n+6)}_{x_{n+3,n+4}} \underbrace{(n+7)}_{x_{n+4,n+7}} \right]^{1/2}$$

$x_{n,n+3}^3$
 \uparrow

$x_{n+3,n+4}$
 \uparrow

$x_{n+4,n+7}^3$
 \uparrow

$$|x_{nn+7}|^2 \propto \frac{\hbar^3 a^4 n^7}{3^4 2^7 m^7 \omega^{11}}$$

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* you show that the single-step anharmonic terms go as

$$|x_{nn+4}| \propto \left(\frac{\hbar}{2m\omega} \right)^{3/2+1/2} \frac{a}{(-3\hbar\omega)} [(n+1)(n+2)(n+3)(n+4)]^{1/2}$$
$$|x_{nn+4}|^2 \propto \frac{\hbar^2 a^2 n^4}{3^2 2^4 m^4 \omega^6}$$

* Direct term

$$|x_{nn+1}|^2 \propto \frac{\hbar^1}{32m^1\omega^1} (n+1)$$

each higher order term gets smaller by a factor $\left(\frac{\hbar m^3 a^2}{3^2 2^3 m^3 \omega^5} \right)$
which is a very small dimensionless factor.

RAPID CONVERGENCE OF PERTURBATION THEORY!

What about Quartic perturbing term $b\mathbf{x}^4$?

Note that $E^{(1)} = \langle n | b\mathbf{x}^4 | n \rangle \neq 0$

and is directly sensitive to sign of b !